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# A Kaupapa Māori approach to the Storage and Collection of Taonga Seeds

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**By Marcus-Rongowhitiao Te Puni Shadbolt**

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**Ngai Tahu, Te Arawa, Ngāti Kahungunu, Rangitane, Ngāti Porou, Ngāti Raukawa,**

22

**Tūwharetoa, Whakatohea, Te Ātiawa, MacIntosh, Gunn**

23

**University of Canterbury**

24

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25

## 26 Abstract

27 Due to the effects of climate change and widespread ecological destruction, we are seeing global  
28 species loss on an unprecedented scale. In response to this, seed banking has become one method  
29 of storing at-risk species safely, while simultaneously supporting ecological restoration. Seed banking  
30 has therefore become a vital practice globally for ensuring the continual supply of seeds, in both  
31 agricultural and conservation projects. In Aotearoa, knowledge of how to store native seeds is  
32 limited, as the local science system has yet to truly utilise it as a method of conservation. This thesis  
33 therefore aims to look at both the technical aspects of how to store seeds native to Aotearoa, and  
34 what this may look like ethically, legally, and appropriately from an Indigenous Māori perspective.  
35 The technical part of this thesis focused on five species of the *Coprosma* genus and aimed to find the  
36 optimal germination method for each one, as well as whether these species show signs of  
37 desiccation or freezing sensitivity. Of my study species, *C. robusta* was identified as orthodox, while  
38 *C. propinqua*, *C. rugosa*, *C. rhamnoides*, and *C. autumnalis* are all varying degrees of non-orthodox.  
39 Among them, *C. propinqua* is intermediate with decreasing viability as temperatures decreased, and  
40 *C. autumnalis* was completely recalcitrant with no germination after drying. *Coprosma rugosa* and *C.*  
41 *rhamnoides* are both intermediate but with a significantly lower number of germinations than in *C.*  
42 *propinqua*. More research is needed on these species, specifically into how long in storage these  
43 species can last, in the case of those which can be stored safely.

44 The cultural aspect of this thesis, however, focused on addressing the past injustices faced by  
45 Indigenous peoples, specifically Māori, in science and conservation, while discussing how to build an  
46 appropriate and ethical seed banking system from the outset in Aotearoa. This chapter aimed to  
47 bring together both international policy and legal precedents from Aotearoa related to seed  
48 ownership. Based on these, I propose a set of best-practice guidelines for working with Māori in  
49 relation to seed banking. These protocols bring together the current literature on appropriate  
50 engagement, and personal experiences of myself and colleagues as Māori people working in the  
51 environmental space. Ultimately, between these two seemingly separate aims, the overall goal of  
52 this thesis is to support the growth of the relatively new seed banking sector in Aotearoa, so that as  
53 the nation progresses, we do it from an ethical and appropriate position.

54

55

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83 along on journeys like this one, to learn and grow surrounded by your taiao, and the people working  
84 to care for it.

85 E kore au e ngaro, he kākano i ruia mai i Rangīātea.

86 I will never be lost, for I am a seed sown in Rangīātea

87

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151	Scarified (T2), Cold Stratified (T3), Cold Stratified and Scarified (T4), Dry stored (T5), Fridge stored	
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## 170 Glossary

<b>Te Reo Māori term</b>	<b>English definition</b>
Aotearoa	The original name for New Zealand
Hapū	Multiple family groups together, the dominant political group of traditional Māori society (Mead, 2016)
Iwi	A loose confederation of hapū groups together, the dominant political group of modern Māori society (Mead, 2016)
Kaitiaki	A guardian, can be either a person or a spiritual being (Jones, 2012)
Kaitiakitanga	The obligation, through whakapapa to protect taonga and the natural world (Jones, 2012)
Karakia	A traditional incantation, statement of intent, or demand of the natural world, in some cases it may also be a Christian prayer (Rangiwai, 2018)
Kaumātua	Elders within Māori society who are considered guardians of knowledge (Kidd et al., 2010)
Kotahitanga	Unity, also used to describe the Māori parliament movement (Kawharu, 1992)
Mana whenua	People who have a historical connection and right to a specific place, in Aotearoa this is iwi or hapū
Manaakitanga	“Hospitality, sharing, and caring for others” (D. Wilson et al., 2021)
Māori	In the context of this thesis, this is the generic name for the Indigenous peoples of Aotearoa
Marae	Meeting house
Mātauranga	An adaptable and ever changing knowledge system encompassing all fields from language to astronomy to construction (Mead, 2016)
Mauri	The natural life energy or spark of all things.
Noa	A state of being safe or in balance, can apply to people, places, and objects (Mead, 2016)
Pono	True or genuine, relevant in establishing whether what is claimed to be tikanga actually is a traditional practice or adapted from somewhere else (Mead, 2016)
Pūkenga	A skilled, knowledgeable, or learned person (Mead, 2016)
Rāhui	The restriction of access to an area after a disruption to the mauri of a place has occurred (Mead, 2016)
Rangatiratanga	When used to refer to groups it means, Self-determination, sovereignty, self-management, leadership (Mead, 2016)
Rūnanga	Iwi authority, or in many cases a specific board or group who oversee iwi activities
Taonga	A highly prized or valued thing, tangible or intangible (Henare, 2007)
Tapu	A sacred state of restriction, can be anything from a place, to a person, or even an object (Rangiwai, 2018)
Te Tiriti o Waitangi	The Treaty of Waitangi, New Zealand’s founding legal document
Tika	Appropriate behaviour, correct (Mead, 2016)
Tikanga	In a legal context, it is customary values and practices, however it is more accurately “the set of beliefs associated with practices and procedures to be followed in conducting the affairs of a group or an individual” (Mead, 2016)
Tohunga	An expert practitioner or a certain skill (Woodard, 2014)
Wairua	Soul, spirit (Mead, 2016)
Wānanga	A traditional method of Māori knowledge transmission, this can be a place, school, practice, and/or a pedagogy (Mahuika & Mahuika, 2020)

Whakapapa	Record of genealogy, this includes non-human things such as animals, plants, and landmarks, making it a taxonomy of all things (Rire, 2012).
Whanau	Family group, including wider family (Mead, 2016)
Whanaungatanga	Kinship among or akin to family connections (Bishop et al., 2014)

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## 196 Chapter 1: Introduction to Seed Banking and Cultural Concerns in 197 Aotearoa

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### 199 Introduction

200 As climate change and its effects increase and worsen, plants worldwide are ever more at risk of  
201 extinction (Reed et al., 2022). In Aotearoa New Zealand (hereafter Aotearoa), an estimated 45% of  
202 native vascular plants are threatened, or at risk; because of this, new and innovative methods are  
203 required to preserve currently at-risk plants, as well as those which may become at risk in the future  
204 (de Lange et al., 2018). One common preservation method worldwide is to use *ex situ* methods such  
205 as seed banking and collection to ensure that species are protected outside their home environment,  
206 alongside traditional conservation practices (Nadarajan et al., 2021). The long-term storage of seeds  
207 and their appropriate and ethical collection are therefore becoming growing issues worldwide  
208 (Scheeles, 2015). This is, however, not a new problem, and seed banking is not a new practice either.  
209 Yet, the last 20 years has seen an increase in the use of seed banking as a conservation method, as  
210 opposed to simply an agricultural crop tool (O'Donnell & Sharrock, 2017).

211 The storage of seeds in agriculture has always been a key component of successful farming all over  
212 the world, and in many poorer parts of the world local seed storage and exchange are crucial for the  
213 continued success of crops (Adhikari, 2012). The collection and storage of seeds therefore have a  
214 deep history and associated traditional practices in all communities (Adhikari, 2012). The treatment  
215 of seeds has been crucial to the successful functioning of the ancient world's food supply chain and is  
216 still crucial to that of today's world. Therefore, to continue to protect global food supply and  
217 endangered plant species, which themselves provide numerous ecosystem services, seed collecting  
218 and seed banking are essential processes to understand (van den Belt & Blake, 2014).

219 Historically however, seed storage, and the wider conservation system, have been a part of global  
220 colonial systems of theft and discrimination (Davidson-Hunt et al., 2012; Zaitchik, 2018); systems in  
221 which the effects of environmental management on people are not understood, and the natural  
222 world is viewed as a resource which is separate from people (Davidson-Hunt et al., 2012; Zaitchik,  
223 2018). Specifically, these systems impact on Indigenous peoples in many ways, with the most obvious  
224 example being the loss of access to land and food (Davidson-Hunt et al., 2012; Domínguez & Luoma,  
225 2020). In Aotearoa, Māori, the Indigenous peoples, have become more involved in research and  
226 environmental work at all levels of Aotearoa's western systems (Universities, Research institutes,

227 Government, etc) in recent decades. This has meant a strong (not perfect) focus locally on how best  
228 to integrate Māori values into conservation and research.

229 This thesis therefore aims to look at both the technical aspects of how to store seeds native to  
230 Aotearoa, and what this may look like ethically, legally, and appropriately from an Indigenous Māori  
231 perspective.

232

## 233 **Background**

### 234 **Seed Banking**

235 Seed banking is simply the process of storing the seeds of plants over long periods of time for use in  
236 the future (Walters & Pence, 2021). A seed bank is a place where seeds are stored, and all banks  
237 have varying focuses on what types of species they collect (Walters & Pence, 2021). Historically,  
238 these facilities have focused on crop species, with the goal being to have seeds available to plant  
239 each year, in the case that something happens to the existing crops, as a back-up (Walters & Pence,  
240 2021). However, seed banks have begun to have a stronger focus on protecting key conservation  
241 species as a response to the global loss of biodiversity, and the increase in incursions globally which  
242 negatively affect plants (Walters & Pence, 2021).

243 Seed banking is a form of ex situ conservation, the goal being to preserve key species outside of their  
244 natural habitat, in the form of seeds (Breman et al., 2021; O'Donnell & Sharrock, 2017; Walters &  
245 Pence, 2021). Recent estimates suggest that nearly 1,750 seed banks exist worldwide, with 45,000-  
246 55,000 taxa represented across them for conservation purposes (Breman et al., 2021; O'Donnell &  
247 Sharrock, 2017; Walters & Pence, 2021). This variety of taxa is significantly greater than the diversity  
248 of agricultural species, of which an estimated 15,000-20,000 taxa are stored in banks of this nature  
249 (O'Donnell & Sharrock, 2017; Walters & Pence, 2021). However, even though there is a huge  
250 disparity in the variety of taxa stored, there are significantly more seeds of agricultural plants kept in  
251 seed banks (O'Donnell & Sharrock, 2017; Walters & Pence, 2021). This comes down to the difficulty,  
252 and lack of knowledge around the storage of wild plants, as opposed to agricultural plants which  
253 have much longer histories of being stored and used by people (O'Donnell & Sharrock, 2017; Walters  
254 & Pence, 2021).

### 255 **Orthodox and Recalcitrant Storage**

256 One of the key components of seed biology in long term seed storage, and a particular interest of  
257 this study, is the identification of and the differences between recalcitrant and orthodox seeds.

258 Orthodox seeds are categorised based on their tolerance to desiccation, and their ability to be stored

259 in their dry state for a long time (Berjak & Pammenter, 2002). Some examples of plants with  
260 orthodox seeds are legumes, grasses, and sunflowers, and all orthodox seeds can withstand roughly  
261 5% dehydration: if they are unable to do this then they are not classed as orthodox seeds (Berjak &  
262 Pammenter, 2002; Chau, 2021). Many seeds, particularly in the tropics, are not desiccation tolerant  
263 to the same degree as orthodox seeds are, and these seeds are either classified as intermediate or  
264 recalcitrant (Berjak & Pammenter, 2002). Recalcitrant seeds can mostly be described as those which  
265 undergo almost no drying during development and dispersal, some examples of these are oak,  
266 avocado and mulberry seeds (Berjak & Pammenter, 2002; Chau, 2021).

267 Among plant groups, roughly 92% of angiosperms are orthodox and the majority of gymnosperms  
268 that have been studied are orthodox (Tweddle et al., 2003; Wyse & Dickie, 2017). The largest dataset  
269 on seeds in the world, The Seed Information Database (SID), run by the Royal Botanic Gardens, Kew,  
270 suggests that 96% of the 18,174 taxa in the database are desiccation tolerant, and while this dataset  
271 is biased to parts of the world where the most research has been conducted, it still shows the huge  
272 majority that orthodox seeds have on the global scale (Wyse & Dickie, 2017). We see from these  
273 examples of two major plant groups and the biggest database on seeds, that desiccation tolerance is  
274 the dominant trait, however, desiccation sensitivity seems to appear across plant groups, with no  
275 particular taxonomic correlation (Tweddle et al., 2003). Studies of within species variation have even  
276 shown that desiccation sensitive mutants can appear within populations, suggesting that very few  
277 genes are associated to the trait, making taxonomic correlation and predictions even harder  
278 (Tweddle et al., 2003). It can also be seen in the literature that seed desiccation tolerance can vary  
279 hugely across different biomes.

280 In New Zealand, the forests in the far north share many similarities with tropical forests, while in the  
281 south, forests are much colder (McGlone et al., 2016; Tweddle et al., 2003). In tropical moist forests,  
282 up to 50% of the seeds may be recalcitrant (Tweddle et al., 2003). Given this, it could be expected  
283 that a higher proportion of species from northern New Zealand produce recalcitrant seeds,  
284 compared with species from the South Island. Additionally, recent research in New Zealand shows  
285 that seed storage behaviour is known for just 22% of our 1823 seed plants, highlighting the massive  
286 gap in the current literature (Wyse et al., 2023). Furthermore, of those known species 83% of them  
287 produce orthodox seeds, which suggests that as more research is conducted we could see New  
288 Zealand species having a higher proportion of recalcitrant species than the global average (Wyse et  
289 al., 2023).

290 There is also a third category in seed storage, which fits somewhere between orthodox and  
291 recalcitrant, called intermediate (Berjak & Pammenter, 2002; Ellis et al., 1990). This category was

292 proposed in response to several seeds which appeared to have traits of both orthodox and  
293 recalcitrant seeds. For example, in a study by Ellis et al (1990), they found that the behaviour of  
294 *Coffea arabica* (coffee) seeds is inconsistent with the requirements of either pre-existing category of  
295 seed storage. Some seeds survived significant desiccation and sub-zero cold storage, while others  
296 were much more sensitive to these conditions (Ellis et al., 1990). Long term storage showed that  
297 many coffee seeds would survive in storage for up to 12 months, which is consistent with orthodox  
298 species and not at all with recalcitrant (Ellis et al., 1990). Coffee seeds also failed to meet the  
299 requirements of orthodox seeds as a reduction in moisture content and temperature still damaged  
300 the seeds (Ellis et al., 1990). Therefore, we can see that seeds and their ability to be stored cannot  
301 always be put into the two traditional categories. Another category has also been suggested for  
302 some of these seeds that fall in the middle, this is called sub-orthodox (Park, 2013). These are seeds  
303 that can be stored in the same way as orthodox seeds but for a much shorter amount of time (Park,  
304 2013). It seems that given the complicated nature of these categories it is better to look at seeds as  
305 simply either orthodox or non-orthodox, or on a spectrum of storage ability instead of categories,  
306 with anything in the non-orthodox category being anything which is described as intermediate,  
307 recalcitrant or otherwise (Park, 2013).

#### 308 **New Zealand Species Storage – *Coprosma***

309 Given that it seems likely that New Zealand has a higher proportion of non-orthodox seeds than  
310 what we see globally, it is important to look at what families, genera, and species are most likely to  
311 be in this category. Wyse et al (2023) identifies four families that may pose the greatest challenge in  
312 storing, these are, the Araliaceae, Pittosporaceae, Podocarpaceae, and Rubiaceae. Among these,  
313 Rubiaceae is a particularly interesting group, and more specifically, the *Coprosma* genus within it.  
314 *Coprosma* primarily occurs in the Pacific across many island habitats, due to this there is limited  
315 research on the genus as a whole within the scientific literature (Cantley et al., 2016). Additionally, in  
316 a recent study by Chau et al (2019), in which freeze sensitivity was tested for in 197 native Hawaiian  
317 species, of which contained 23 members of the Rubiaceae family, and five of *Coprosma* (Chau et al.,  
318 2019). They found that the Rubiaceae had a slightly lower relative performance in these tests,  
319 suggesting that it has freeze sensitive behaviour (Chau et al., 2019). It can also be seen in their  
320 results that the native Hawaiian *Coprosma* species specifically seem to also display freeze sensitivity  
321 (Chau et al., 2019). In New Zealand, we also see that within certain genera, of which *Coprosma* is  
322 mentioned, there can be high variability across species in their storage behaviour (Wyse et al., 2023).  
323 From this it becomes apparent that some *Coprosma* species in New Zealand may struggle at freezing  
324 temperatures, however, they also outline that these seeds may prefer cooler temperatures (Chau et  
325 al., 2019). Given these studies, and the questions that have come from them, *Coprosma* is an

326 interesting genus to study as we nationally aim to learn more about native species storage behaviour,  
327 and where further issues may arise.

### 328 Germination Protocols

329 In seed banking and the wider seed conservation space, germination protocols play a key role in  
330 assessments of the viability of seeds, and in the use of them after storage (Godefroid et al., 2010).

331 The literature has identified that an understanding of germination protocols is essential to successful  
332 seed banking as it increases the efficiency of seed banks (Godefroid et al., 2010). By understanding  
333 germination, seed banks are able to successfully use their seeds in re-planting programs with higher  
334 success rates through understanding how to break dormancy (Godefroid et al., 2010). Germination  
335 protocols are also essential for assessing seed viability, as they inform the best way to propagate and  
336 treat seeds, allowing comparisons across seed populations (Acemi & Özen, 2019). Additionally, in  
337 threatened species seeds may be in very short supply, because of this, ensuring that seed banks  
338 know the best way to grow these is crucial to restoring rare species (Godefroid et al., 2010).

339 Germination protocols can however be incredibly variable, even among closely related species. In a  
340 study looking at the genus *Echinochloa*, they found that across 15 species, in the same genus, that  
341 significantly different protocols were needed (Kovach et al., 2010). Some of the species were light-  
342 requiring, while others dark-requiring, and while the majority of species responded to 25 to 30°C,  
343 responses were still found at lower temperatures in some species (Kovach et al., 2010). Due to this,  
344 Royal Botanic Gardens Kew have created several technical information sheets outlining the many  
345 different key conditions to control in a germination test (Kew, 2022b). Here they recommend several  
346 treatments conditions including, light cycles, cut testing, temperature control, use of incubators, and  
347 more (Kew, 2022b). These conditions are crucial to understanding the optimal germination protocol  
348 in seeds, to ensure that when it comes to testing viability and storage, people can accurately assess  
349 them.

### 350 Māori History and the Aotearoa Context

351 In addition to understanding the processes of seed collection and storage, it is also necessary, based  
352 on the traditional knowledge and history of seeds within local Indigenous communities, to better  
353 understand how Māori knowledge and customs would fit into a New Zealand seed system. This is  
354 vital to ensuring that whatever happens to seeds within this project, and ideally with all native seeds,  
355 is ethical, legal, and in the best interests of both the environment itself and people. To understand  
356 why this is vital in New Zealand there are two key documents to understand, being Te Tiriti o  
357 Waitangi (The Treaty of Waitangi) and the Waitangi Tribunal claim WAI 262.

358 In short, Te Tiriti o Waitangi is the founding document of Aotearoa (Orange, 2017). It is the original  
359 agreement between the British Crown and Māori leaders of the time, who represented the majority  
360 of the country, and outlines how both peoples would go forward living together (Orange, 2017). The  
361 foundation of this agreement was to allow the British Crown to have governance over their people in  
362 Aotearoa who had been arriving for many years already, while allowing Māori chiefs to maintain  
363 control of the country as a whole and exert their authority, or tino rangatiratanga as it was written,  
364 over their people and possessions (Orange, 2017). After this, British migration skyrocketed, and with  
365 it so did British authority in New Zealand (Scott, 1975). Just 12 years after the signing in 1852 the  
366 British Parliament passed the New Zealand Constitution Act, giving settlers total administrative  
367 control of the lands, this was the establishment of the New Zealand government (Scott, 1975). In  
368 more recent history, the treaty has become more and more recognised in New Zealand law, most  
369 notably through the Waitangi Tribunal, and a famous claim WAI 262 (Potter & Māngai, 2022).

370 The Waitangi Tribunal was established through The Treaty of Waitangi Act 1975, which established a  
371 commission to investigate grievances and claims from Māori directed at the Crown (Stokes, 1992).  
372 WAI 262 is one such claim, lodged in 1991 it claimed that in accordance with the treaty, iwi  
373 (Tribe/Tribal) Māori hold “all rights relating to the protection, control, conservation, management,  
374 treatment, propagation, sale, dispersal, utilisation and restrictions on the use and transmission of the  
375 knowledge of Indigenous flora and fauna and the genetic resources contained within them”(Potter &  
376 Māngai, 2022). This broad claim came from the government’s usage of Indigenous plants in research  
377 and commercialisation without the involvement of Māori, who under Te Tiriti o Waitangi were  
378 guaranteed the right of authority over them (Potter & Māngai, 2022).

379

## 380 Aims

381 Based on the current state of seed banking in Aotearoa, the wider literature on closely related  
382 species, and the unique context of the local cultural landscape, two complimentary aims emerged to  
383 begin to fill many of these gaps.

384 Specifically, the aims of this project are to conduct an:

- 385 1. Assessment of the germination protocols for a range of *Coprosma* species, and their seed  
386 storage behaviours. Specifically, desiccation, cold, and freezing sensitivity.
- 387 2. Examination of what best practice protocols for seed banking in Aotearoa could look like  
388 from the perspective of Māori. This study will specifically consider Te Tiriti o Waitangi, the  
389 aspirations of the WAI 262 claim, and the global literature on Indigenous rights.

## 390 Chapter 2: Germination Protocols and Seed Storage Behaviours

### 391 Abstract

392 Seed banking has become a vital practice globally in ensuring the continual supply of seeds in both  
393 agricultural and conservation projects. In Aotearoa, knowledge of how to store native seeds is  
394 limited, and in this chapter, I aim to begin to expand on this by starting with the *Coprosma* genus. To  
395 do this, the optimal germination methods of these species was investigated to ensure that the  
396 maximum number of seeds carried through to germination. This optimal germination method was  
397 then used as a control treatment for investigating the desiccation and freezing tolerance of these  
398 seeds. This showed that tolerance to drying and freezing varied across species, with some being  
399 orthodox in storage, while others showed non-orthodox behaviour, or were totally recalcitrant.  
400 *Coprosma robusta* was identified as orthodox, while *C. propinqua*, *C. rugosa*, *C. rhamnoides*, and *C.*  
401 *autumnalis* are all varying degrees of non-orthodox. Among them, *C. propinqua* is intermediate with  
402 decreasing viability as temperatures decreased, and *C. autumnalis* was completely recalcitrant with  
403 no germination after drying. *Coprosma rugosa* and *C. rhamnoides* are both intermediate but with a  
404 significantly lower number of germinations than in *C. propinqua*, more research is needed on these  
405 species. Specifically, more research is needed into how long in storage these species can last, in the  
406 case of those which can be stored safely.

407

### 408 Introduction

409 The collection of seeds is one of the oldest agricultural practices in the world, with some research  
410 placing its use as far back as 3000 B.C (Kozlowski & Gunn, 2012). Given this, it is unsurprising that  
411 there is an immense literature on the collection of seeds for many different purposes. However, seed  
412 collection as a modern practice, with the goal of long term storage, is often attributed to beginning  
413 with Nikolai Vavilov, who in the early 1900's began to collect the germplasm of crop species for  
414 storage in what is now called the All-Union Institute of Applied Botany and New Crops, located in  
415 Saint Petersburg (Peres, 2016). Due to the long history of seed collection, I will be focusing on  
416 literature that relates to the collection of seeds for long term storage using current methods or seed  
417 banking.

418 Historically, seed banks have focused on key agricultural species, with the goal being to have seeds  
419 available to plant each year (Walters & Pence, 2021). However, building on the success of these  
420 systems, some seed banks have begun to have a stronger focus on protecting key conservation  
421 species as a response to climate change, and increasing environmental pressures (Walters & Pence,

422 2021). This practice of ex situ conservation aims to preserve germplasm outside of natural habitats in  
423 the form of seeds for up to 100 years or more (Walters & Pence, 2021).

424 To ensure that these collections are useful when withdrawn from seed banks, germination protocols  
425 are required to assess of the viability of seeds while in storage, and in the use of them after storage  
426 (Godefroid et al., 2010). Therefore, an understanding of germination protocols is essential for both  
427 managing a seed collection, and for those using seeds when they are withdrawn (Godefroid et al.,  
428 2010). Additionally, in threatened species, seeds may be in very short supply, because of this,  
429 ensuring that seed banks know the best way to grow them is crucial to restoring rare species  
430 (Godefroid et al., 2010). Germination protocols can however be incredibly variable, even among  
431 closely related species, meaning that in an under researched genus, species specific studies may be  
432 required. (Kovach et al., 2010).

433 While understanding seed germination allows seeds to be grown successfully in as large a quantity as  
434 possible, this is meaningless if seeds cannot survive being dried. The distinction between orthodox,  
435 seeds that can survive drying, and recalcitrant, seeds that cannot, becomes even more important.  
436 Orthodox seeds can withstand roughly 5% dehydration: if they are unable to do this then they are  
437 not classed as orthodox seeds (Berjak & Pammenter, 2002; Chau, 2021). Desiccation tolerance  
438 (Orthodox) is the dominant trait among species globally, however, desiccation sensitivity seems to  
439 appear across plant groups, with no particular taxonomic correlation (Tweddle et al., 2003). Many  
440 seeds, particularly in the tropics and wet areas, are not desiccation tolerant to the same degree as  
441 orthodox seeds are, and these seeds are either classified as intermediate or recalcitrant (Berjak &  
442 Pammenter, 2002). Recalcitrant seeds can mostly be described as those that undergo almost no  
443 drying during development and dispersal, making them unable to survive drying (Berjak &  
444 Pammenter, 2002; Chau, 2021). However, we know that seeds and their ability to be stored cannot  
445 always be put into these two categories, the intermediate category was proposed in response to  
446 seeds that appeared to have traits of both orthodox and recalcitrant seeds (Berjak & Pammenter,  
447 2002; Ellis et al., 1990). These are seeds that can be stored in the same way as orthodox seeds but  
448 for a much shorter amount of time, or are partially sensitive to cold or drying (Berjak & Pammenter,  
449 2002; Chau et al., 2019; Park, 2013). Given the complicated nature of these categories, it seems  
450 better to look at seeds as simply either orthodox or non-orthodox, or on a spectrum of storage ability  
451 instead of categories, with a taxon in the non-orthodox category being one that is described as  
452 intermediate, recalcitrant or otherwise, requiring other methods of storage (Park, 2013).

453 While these categories are useful for dealing with known species, it can be difficult to predict the  
454 behaviour of seeds based on data. Desiccation sensitive mutants, for example, can appear within



455 populations randomly, suggesting that very few genes are associated to the trait, making taxonomic  
456 correlation and predictions difficult (Tweddle et al., 2003). Following this, there are many examples  
457 of groups that display a wide variety of storage conditions, such as the genera *Coffea* and *Citrus*  
458 (Hong et al., 1995). This diversity of seed behaviour requires that analysis takes place at the genus  
459 level to identify if closely related species will be similar or express variation.

460 The *Coprosma* genus is commonly found across the Pacific Islands. The largest diversity of species  
461 are found in Aotearoa (>55 species), while the next largest hotspot is Hawai'i (13 species)(Cantley et  
462 al., 2014; Lee et al., 1988). Given that Aotearoa is the centre of diversity for this genus, it is  
463 appropriate that research across species focuses here. Additionally, the majority of *Coprosma* are  
464 evergreen, woody species, comprising 20% of all Indigenous fleshy fruit producing plants in Aotearoa  
465 (Lee et al., 1988). This also makes the genus an ecologically important food source for birds such as  
466 kererū (*Hemiphaga novaeseelandiae* Gmelin, 1789), tūī (*Prothemadera novaeseelandiae*  
467 *novaeseelandiae* (Gmelin, 1788)), korimako (*Anthornis melanura melanura* Sparrman, 1786), and  
468 also for lizards (Cantley et al., 2014; Westphal, 2019). The colours of these fruits vary, and include  
469 red, orange, blue, white, and black fruits (Cantley et al., 2014; Lee et al., 1988).

470 This Chapter will focus on understanding the ideal germination conditions, and storage conditions of  
471 five *Coprosma* species. Those species are, *Coprosma propinqua* A.Cunn. var. *propinqua*, *Coprosma*  
472 *robusta* Raoul, *Coprosma rugosa* Cheeseman, *Coprosma rhamnoides* A. Cunn, and *Coprosma*  
473 *autumnalis* Colenso (formerly *Coprosma grandifolia* Hook.f.). Fruit size is fairly consistent across  
474 these species and all have 2-3 drupes per fruit (H. D. Wilson & Galloway, 1993; Wotton, 2002). Plant  
475 sizes vary across species *C. propinqua*, *C. robusta*, and *C. autumnalis* can grow over 5m in height,  
476 while *C. rugosa* and *C. rhamnoides* are under 3m (Cheeseman, 1906; Taylor, 1961; H. D. Wilson &  
477 Galloway, 1993). Research has begun to look at the storage ability of some species, *Coprosma lucida*  
478 has been identified as orthodox, while *Coprosma foetidissima* is recalcitrant (Burrows, 1996, 1997).  
479 Of my study species, *C. autumnalis* and *C. robusta* have been previously identified as recalcitrant  
480 (Burrows, 1996, 1997).

481 In this chapter, my aim is to determine the best germination conditions for each of my target species,  
482 and to identify their storage behaviours. These species span both of Aotearoa's main islands, and  
483 fruit at different times of the year. This allows for an attempt at finding differing germination and  
484 storage behaviours across various distributions. For germination testing, temperature and light will  
485 be controlled using a growth cabinet, and scarification alongside cold stratification will be used to try  
486 and break dormancy. With storage behaviour testing, I will be testing for desiccation, cold, and  
487 freezing tolerance.

488 **Methods**489 *Seed collection*

490 I collected seeds from five *Coprosma* species: *C. propinqua*, *C. robusta*, *C. rugosa*, *C. rhamnoides*, and  
 491 *C. autumnalis* (Table 1). While fruits from different species were collected across the country, within  
 492 species, fruits were collected from few parents within close proximity to each other. On collection,  
 493 fruits were placed in small paper bags according to their parent plant and were labelled accordingly.  
 494 Fruits were stored at approximately 4°C for a maximum of two weeks prior to cleaning.

495 *Table 1: Species collected for study along with collection information.*

<b>Species</b>	<b>Common Name</b>	<b>Date collected</b>	<b>Location</b>	<b>Number of Parents</b>
<i>Coprosma propinqua</i>	Mingimingi	March 2023	Lincoln, Canterbury	7
<i>Coprosma robusta</i>	karamū, glossy karamū	March 2023	Lincoln, Canterbury	5
<i>Coprosma rugosa</i>	Needle-leaved Mountain <i>coprosma</i>	March 2023	Lincoln, Canterbury	6
<i>Coprosma rhamnoides</i>	Twiggy <i>coprosma</i> , Mingimingi	April 2023	University of Canterbury Campus, Canterbury	3
<i>Coprosma autumnalis</i>	Kanono, Manono, Large- leaved <i>coprosma</i> , Raurekau	June 2023	Kauaeranga Valley, Coromandel	4

496

497 *Cleaning*

498 Cleaning was done by hand, by rubbing the fruit off the seeds then separating the two seeds in each  
 499 fruit from each other. Cleaned seeds were then laid out at room temperature for approximately 48  
 500 hours, to dry any excess fruit material that may have been left on the seed. The seeds were kept in a  
 501 fridge at 4°C whenever they were not actively being cleaned or worked with to maximise seed  
 502 viability before entering treatments. Following cleaning, seeds were surface sterilised in 2% sodium  
 503 hypochlorite for 10 minutes, then rinsed under running water for one minute (Kew, 2022b).

504 *Germination tests*

505 Four germination treatments were trialled for these species: fresh, scarified, cold-stratified, and both  
 506 cold stratified and scarified (Table 2). These treatments aimed to replicate what might happen to the  
 507 seeds naturally, while the fresh seeds served as a control. Scarification is known to break both  
 508 physical and non-deep physiological dormancy on the seed, in the same way that a seed coat may be  
 509 damaged by a bird eating it or something trampling the seed (J. Baskin & Baskin, 2003; Kew, 2022a).  
 510 Seeds in these first two treatments were then subjected to light and temperature conditions that  
 511 simulated their local environment in late summer and winter, at the time they were collected (see  
 512 Table 3), for germination. This matches the conditions at the time when the seeds would have been

513 dispersed. Cold stratification, however, aims to simulate the seeds lying dormant through the winter  
514 to grow in spring. This treatment is used to break physiological dormancy in seeds, and was coupled  
515 with spring light and temperature conditions (Table 3), as this is when they would naturally begin  
516 growing following a period of winter dormancy (J. M. Baskin & Baskin, 2004). Some seeds, however,  
517 have combinational dormancy; these seeds have multiple forms of dormancy, such as both physical  
518 and physiological dormancy (J. M. Baskin & Baskin, 2004). To test for this, a combination of  
519 scarification and cold stratification were used with spring conditions for germination (Table 3). This  
520 design differed from a perfectly factorial design, in that scarification and cold stratification were  
521 applied factorially, but these were partially confounded with germination temperature. I selected this  
522 design because it used germination conditions that are the most relevant to field conditions for these  
523 species. Additionally, due to a lack of sufficient seed, I was unable to apply all combinations of  
524 scarification and cold stratification with light and temperature variables.

525 Each seed was individually placed in a 5 mL Eppendorf tube, with a small piece of filter paper folded  
526 into a cone at the bottom. This method was specifically chosen over the conventional method of  
527 multiple seeds in a petri dish with paper in the bottom, to avoid mould infesting other seeds when  
528 sharing space, thus making each seed an independent sampling unit. The Eppendorf method allowed  
529 fungal infestations to be isolated when they appeared. Additionally, because the tube was sealed, it  
530 also retained moisture better than a petri dish. For four species, 50 seeds were used from each  
531 species across a range of parent plants (Table 1) in each treatment. The exception here was in the  
532 germination tests for *C. propinqua*, which were the first carried out and had 100 seeds per treatment  
533 because many seeds of this species were available. Experience with *C. propinqua* was used to guide  
534 the methodology for the subsequent species, balancing sufficient replication with experimental  
535 practicalities. Once the seed was added to the tube, 250  $\mu$ L of water was pipetted in and they were  
536 labelled individually. All seeds were germinated in Conviron Gen1000 growth cabinets, set to the  
537 corresponding conditions for each of the four germination treatments (Tables 2 & 3). Conditions  
538 were mostly the same, with the exception of *C. autumnalis*, this was because this species was  
539 collected in a different part of the country, at a different time of year to the other species, meaning  
540 that its local conditions varied (Table 1).

541

542

543

544 *Table 2: The germination treatments tested for each Coprosma species. See Table 3 for details of seasonal conditions per*  
 545 *species.*

Treatment	Details
<b>Fresh</b>	Fresh seeds germinated in late summer or winter conditions, depending on when they were collected
<b>Scarified</b>	The seed coat was damaged with a razor, and were germinated in late summer/winter conditions, depending on when they were collected
<b>Cold Stratified</b>	Seeds were kept in a 4°C fridge for four weeks, and were germinated in spring conditions
<b>Cold Stratified and Scarified</b>	The seed coat was damaged with a razor, seeds were kept in a 4°C fridge for four weeks, and were germinated in spring conditions

546

547 *Table 3: Light and temperature conditions (daily cycles) used to simulate late summer, winter, and spring conditions for each*  
 548 *species.*

Species	Late Summer/Winter		Spring	
	Light	Temperature	Light	Temperature
<i>Coprosma propinqua</i>	13hr Light	Light hours 20°C	11hr Light	Light hours 15°C
<i>Coprosma robusta</i>	11hr Dark	Dark hours 10°C	13hr Dark	Dark hours 5°C
<i>Coprosma rugosa</i>	13hr Light	Light hours 20°C	11hr Light	Light hours 15°C
<i>Coprosma rhamnoides</i>	11hr Dark	Dark hours 10°C	13hr Dark	Dark hours 5°C
<i>Coprosma autumnalis</i>	13hr Light	Light hours 20°C	11hr Light	Light hours 15°C
	11hr Dark	Dark hours 10°C	13hr Dark	Dark hours 5°C
	11hr Light	Light hours 15°C	14hr Light	Light hours 18°C
	13hr Dark	Dark hours 5°C	10hr Dark	Dark hours 13°C

549

550 Seeds were monitored twice per week for germination or severe mould infestation. Seed outcomes  
 551 were categorised into either germinated, meaning the seed produced a radicle, ungerminated,  
 552 where the seed showed no change, or infested, where a fungal infestation grew on the seed while in  
 553 its tube. Infested seed tubes were immediately removed from the trays and disposed of to reduce  
 554 the likelihood of potential spread as much as possible. Seeds were monitored until a two-week  
 555 window of no germinations occurred, at which point records stopped. This means that the total time  
 556 that seeds were monitored differed across species and treatments.

### 557 *Drying seeds*

558 Once the seeds were cleaned, 150 individual seeds of each species were set aside for drying, which  
 559 typically precedes long-term storage (Berjak & Pammenter, 2002). A drying cabinet with a tray of  
 560 silica gel at the bottom was used to dry seeds, with a built-in hygrometer to monitor humidity within  
 561 the cabinet. Humidity in this cabinet was between 18%-23%, maintained with regular changes of the  
 562 silica gel. The drying cabinet was also inside a growth cabinet which kept it at a constant temperature

563 of 18°C. Seeds were weighed once at the start for a baseline, and twice per week thereafter to  
 564 monitor moisture loss. Once seed weight plateaued at a consistently low point, they were  
 565 transferred to glass vials. Once in the vial a hygrometer probe was used to directly measure the seed  
 566 moisture content to ensure it was low enough (12-15%) at which point the vials were sealed.

#### 567 *Storage testing*

568 To test their storage ability, three storage treatments were applied to the dry seeds (Table 4). Dry  
 569 storage testing examined the species' seed desiccation tolerance: the ability for a seed to be dried  
 570 and still retain viability. Seeds can be stored as dry seeds at room temperature, making this a vital  
 571 first step to understand. For longer term storage, however, lower temperatures are needed to keep  
 572 the seeds viable. Freezer storage testing aimed to evaluate the viability of the *Coprosma* seeds when  
 573 they are frozen. Due to some seeds not coping in freezing temperatures, testing at fridge levels was  
 574 also carried out to see if low, non-freezing temperatures are an option in the case that freezing is  
 575 unviable (Chau et al., 2019).

576 *Table 4: The three tests used for desiccation tolerance and storage behaviour testing of Coprosma species. See Table 5 for*  
 577 *details of optimal germination conditions per species.*

Treatment	Details
<b>Dry stored</b>	Seeds were dried to between 12-15% humidity, then transferred to the optimal germination conditions per species
<b>Fridge stored</b>	Seeds were dried to between 12-15% humidity, then stored at 4°C for one week, then transferred to the optimal germination conditions
<b>Freezer stored</b>	Seeds were dried to between 12-15% humidity, then stored at -20°C for one week, then transferred to the optimal germination conditions

578

#### 579 **Statistical methods**

580 A one-way ANOVA was used to compare the effects of various dormancy breaking conditions (Table  
 581 2) on the germination success of seeds across the five target species. To test this, the response  
 582 variable was proportion of seeds germinated (using a General linear model, glm), and the  
 583 independent variable used was treatment type (a factor with four levels; Table 2). Tukey HSD  
 584 pairwise comparisons, from the multcomp package in RStudio, were subsequently used to compare  
 585 individual treatments (Hothorn et al., 2008).

586 A one-way ANOVA was also used to compare the effects of various storage conditions (Table 4) on  
 587 the germination success of seeds across the five target species. The response variable was  
 588 proportion of seeds germinated (using a General linear model, glm), and the independent variable  
 589 used was treatment type (a factor with three levels; Table 4). Tukey HSD pairwise comparisons, from  
 590 the multcomp package in RStudio, were also used to test for differences are between these  
 591 treatments (Hothorn et al., 2008).

592 A one-way ANOVA was also used to compare the effects of all treatments (Table 2 & 4) on the overall  
593 time to germination across the five target species. The response variable was time to germination,  
594 and the independent variable used was treatment type. A Tukey HSD pairwise comparisons test,  
595 from the multcomp package in RStudio, was then used to determine how significant the differences  
596 are in the time to germination of seeds across all treatments and species (Table 2 & 4)(Hothorn et al.,  
597 2008).

598 All statistical analyses were done using R Studio (R Core Team, 2023).

599

## 600 Results

601 *The effect of germination treatments on seed germination success varied significantly in strength*  
602 *within and across species*

603 Species from the *Coprosma* genus showed significant variation between each other in germination  
604 success (Fig. 2). At the extreme ends, *C. robusta* had low rates of infestation and high germination  
605 rates across all treatments, while *C. rhamnoides* shows the opposite. Given this variation and the  
606 aims of the study, analysis is focused within species, not across.

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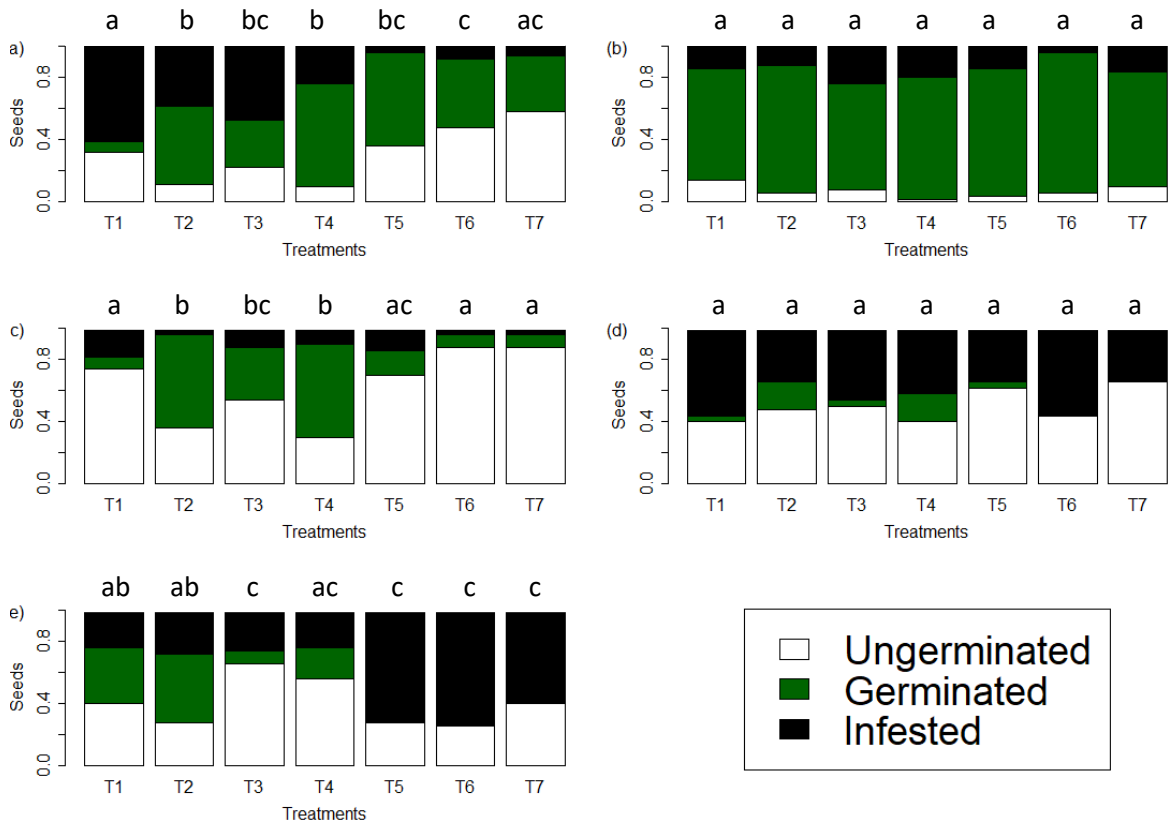
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621 Fig. 1: Stacked barplots of the proportion of germinated, ungerminated, and infested seeds across treatments for five  
 622 Coprosma species: *C. propinqua* (a), *C. robusta* (b), *C. rugosa* (c), *C. rhamnoides* (d), and *C. autumnalis* (e). Treatments were:  
 623 Fresh (T1), Scarified (T2), Cold Stratified (T3), Cold Stratified and Scarified (T4), Dry stored (T5), Fridge stored (T6), Freezer  
 624 stored (T7). With comparison results above each plot from a Tukey HSD test for multiple comparisons between proportion  
 625 germinated and treatment type.

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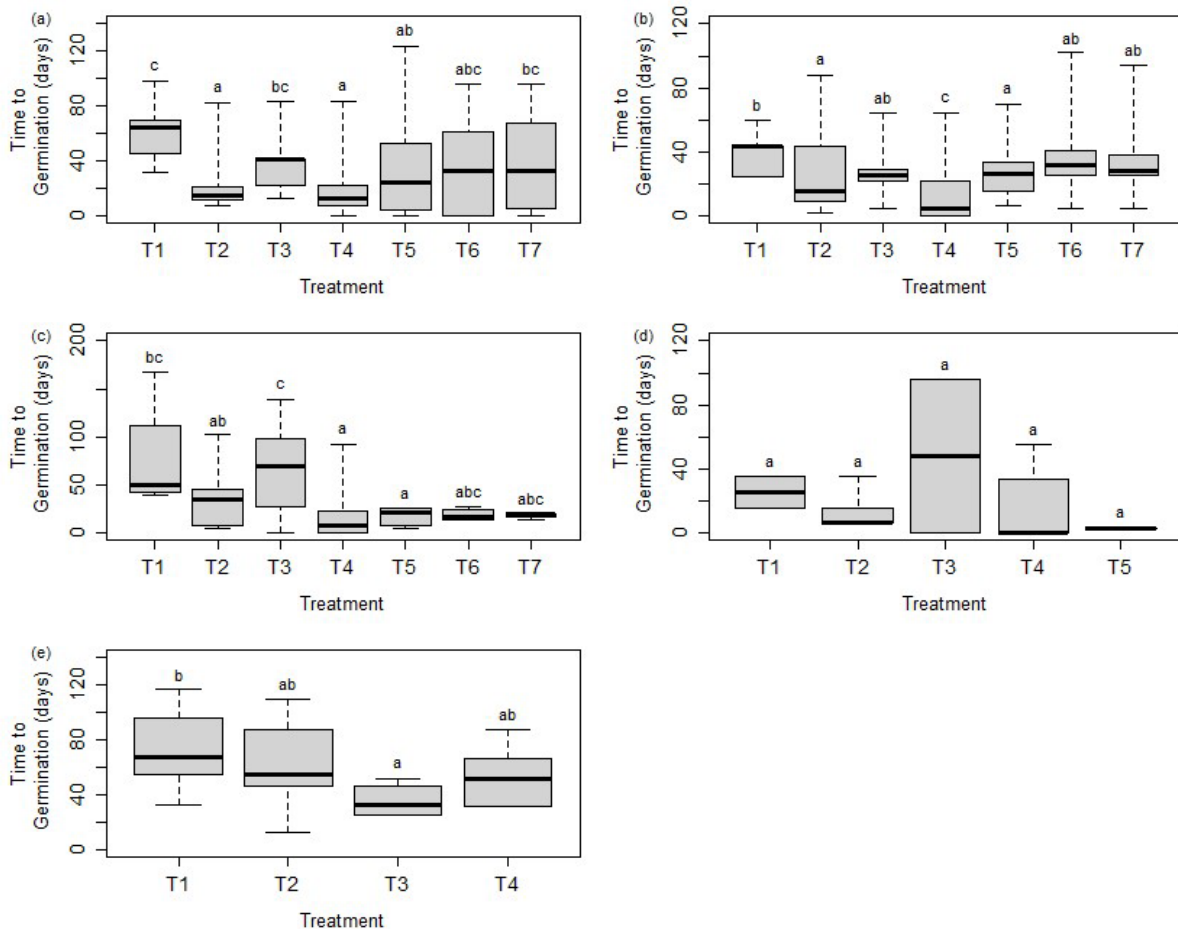
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641 Fig. 2: Boxplot of the time to germination across treatments for the *Coprosma* species in this study: *C. propinqua* (a), *C.*  
 642 *robusta* (b), *C. rugosa* (c), *C. rhamnoides* (d), and *C. autumnalis* (e). Fresh (T1), Scarified (T2), Cold Stratified (T3), Cold  
 643 Stratified and Scarified (T4), Dry stored (T5), Fridge stored (T6), Freezer stored (T7). With comparison results above each plot  
 644 from a Tukey HSD test for multiple comparisons. (d) had 0 germinations in (T6) and (T7), and (e) had 0 germinations in (T5),  
 645 (T6), and (T7).

646 For *C. propinqua*, there was a significant difference among treatments in their germination success  
 647 ( $F_{3, 233} = 29.07$ ,  $p < 0.001$ ; Fig. 1a). Significantly fewer seeds germinated in the fresh treatment than  
 648 in any of the other germination treatments (fresh vs. scarified  $p < 0.001$ , 95% C.I.=[0.42, 0.85]) (fresh  
 649 vs. cold stratified  $p < 0.001$ , 95% C.I.=[0.17, 0.62]) (fresh vs. cold stratified and scarified  $p < 0.001$ , 95%  
 650 C.I.=[0.48, 0.89]). There were also significantly fewer germinations in the cold stratified treatment  
 651 than in the cold stratified and scarified combined treatment ( $p < 0.001$ , 95% C.I.=[0.10, 0.48]) or the  
 652 scarified treatment ( $p < 0.05$ , 95% C.I.=[-0.44, -0.04]). However, there was no statistically significant  
 653 difference between the scarified, and the cold stratified and scarified combined treatments ( $p = 0.879$ ,  
 654 95% C.I.=[-0.13, 0.23]). CI (Confidence Interval) shown for these comparisons represents the mean  
 655 difference between treatments. Time to germination was also analysed and found that the  
 656 scarification and the cold stratification and scarification combined treatment were not statistically  
 657 different, and were the treatments which resulted in the fastest germinations (Fig. 2a).



658 For *C. rugosa*, there was a significant difference among treatments in their germination success ( $F_{3,174}=14.54$ ,  $p < 0.001$ ; Fig. 1c). The proportion of seeds germinated was significantly lower for fresh  
659 seeds than all three other treatments (fresh vs. scarified  $p < 0.001$ , 95% C.I.=[0.28, 0.78]) (fresh vs.  
660 cold stratified  $p < 0.05$ , 95% C.I.=[0.04, 0.54]) (fresh vs. cold stratified and scarified  $p < 0.001$ , 95%  
661 C.I.=[0.32, 0.82]). There were also significantly fewer germinations in the cold stratified treatment  
662 than in the cold stratified and scarified combined treatments ( $p < 0.05$ , 95% C.I.=[0.03, 0.53]).  
663 However, there was no statistically significant difference between the scarified, and the cold  
664 stratified and scarified combined treatments ( $p = 0.970$ , 95% C.I.=[-0.20, 0.28]), or the scarified and  
665 cold stratified treatments ( $p = 0.058$ , 95% C.I.=[-0.48, 0.01]). CI (Confidence Interval) shown for these  
666 comparisons represents the mean difference between treatments. Time to germination was also  
667 analysed and found that the scarification and the cold stratification and scarification combined  
668 treatment were not statistically different, and were the treatments which resulted in the fastest  
669 germinations (Fig. 2c). Scarification, however, was also not significantly different from the fresh  
670 treatment (Fig. 2c).  
671

672 For *C. autumnalis* there was a significant difference among treatments in their germination success  
673 ( $F_{3,145}=9.118$ ,  $p < 0.001$ ; Fig. 1e). The proportion of seeds germinated was significantly greater in the  
674 fresh treatment than in the cold stratified treatment ( $p < 0.01$ , 95% C.I.=[-0.63, -0.1]). The proportion  
675 of germinated seeds was also significantly greater in the scarified treatment than in the cold  
676 stratified treatment ( $p < 0.001$ , 95% C.I.=[-0.77, -0.23]) or the cold stratified and scarified combined  
677 treatment ( $p < 0.01$ , 95% C.I.=[-0.61, -0.08]). However, there was no statistically significant difference  
678 between the fresh and scarified treatments ( $p = 0.55$ , 95% C.I.=[-0.13, 0.41]), between fresh and cold  
679 stratified ( $p = 0.174$ , 95% C.I.=[-0.63, -0.10]), or between the cold stratified and the cold stratified and  
680 scarified combined treatments ( $p = 0.439$ , 95% C.I.=[-0.11, 0.42]). CI (Confidence Interval) shown for  
681 these comparisons represents the mean difference between treatments. Time to germination was  
682 also analysed and found that all treatments except for the fresh seeds, had no significant difference  
683 between them, while all of them still germinated earlier than the fresh seeds (Fig. 2e)

684 For *C. robusta*, there was no significant difference among treatments in their germination success ( $F_{3,161}=1.733$ ,  $p = 0.162$ ; Fig. 1b), in *C. rhamnoides*, there was also no significant difference among  
685 treatments in their germination success ( $F_{3,107}=2.644$ ,  $p = 0.053$ ; Fig. 1d). Time to germination was  
686 also analysed for both species, in *C. robusta*, scarification and cold stratification separately were the  
687 two fastest methods and were not significantly different, while the cold stratification treatment was  
688 also not significantly different to the fresh seeds (Fig. 2b). For *C. rhamnoides*, there was no significant  
689 difference in time to germination for any of the treatments (Fig. 2d).  
690

691 Germination rates were always found to not differ significantly between at least two treatments  
 692 where the rates were highest (Table 5). However, in the storage method testing only one could be  
 693 used as the optimal method for comparison. Therefore, in the case of multiple treatments with  
 694 equally high rates, the treatment which produced germinations the quickest was selected (Table 5 &  
 695 Fig. 2).

696 *Table 5: Optimal methods used in storage testing per species, based on germination rate and time to germination.*

Species	Optimal Germination Methods According to Analyses	Optimal Germination Methods used in Tests
<i>Coprosma propinqua</i>	Scarified and the Cold stratified and Scarified	Cold stratified and Scarified
<i>Coprosma robusta</i>	All methods	Scarified
<i>Coprosma rugosa</i>	Scarified and the Cold stratified and Scarified	Scarified
<i>Coprosma rhamnoides</i>	All methods	Scarified
<i>Coprosma autumnalis</i>	Fresh and Scarified	Scarified

697

698 *The effects of storage treatments on seed germination success varied significantly in strength within*  
 699 *and across species*

700 For *C. propinqua*, there was a significant difference among storage treatments in their germination  
 701 success ( $F_{3, 213}=13.77$ ,  $p < 0.001$ ; Fig. 1a). The proportion of seeds germinated was significantly lower  
 702 in all dry seed treatments than in the fresh control seeds (fresh vs. dry stored  $p < 0.05$ , 95% C.I.=[-  
 703 0.46, -0.03]) (fresh vs. fridge stored  $p < 0.001$ , 95% C.I.=[-0.61, -0.17]) (fresh vs. freezer stored  
 704  $p < 0.001$ , 95% C.I.=[-0.70, -0.27]). Additionally, there was also a significantly greater proportion of  
 705 seeds germinated in the dry stored treatment than in the freezer stored seeds ( $p < 0.05$ , 95% C.I.=[-  
 706 0.48, -0.005]). However, there was no significant difference between the dry stored and fridge stored  
 707 seeds ( $p = 0.385$ , 95% C.I.=[-0.39, 0.09]), or between the fridge stored and freezer stored seeds  
 708 ( $p = 0.733$ , 95% C.I.=[-0.34, 0.14]). CI (Confidence Interval) shown for these comparisons represents  
 709 the mean difference between treatments.

710 For *C. rugosa*, there was a significant difference among treatments in their germination success ( $F_{3,}$   
 711  $_{183}=23.31$ ,  $p < 0.001$ ; Fig. 1c). The proportion of seeds germinated was significantly lower in all dry  
 712 seed treatments than in the fresh control seeds (fresh vs. dry stored  $p < 0.001$ , 95% C.I.=[-0.64, -0.24])  
 713 (fresh vs. fridge stored  $p < 0.001$ , 95% C.I.=[-0.74, -0.35]) (fresh vs. freezer stored  $p < 0.001$ , 95% C.I.=[-  
 714 0.74, -0.35]). However, there was no significant difference between any of the other treatments;  
 715 specifically, dry stored and fridge stored ( $p = 0.551$ , 95% C.I.=[-0.30, 0.10]), dry stored and freezer

716 stored ( $p=0.551$ , 95% C.I.=[-0.30, 0.10]), and the fridge stored and freezer stored treatments ( $p=1$ ,  
717 95% C.I.=[-0.20, 0.20]). CI (Confidence Interval) shown for these comparisons represents the mean  
718 difference between treatments.

719 For *C. rhamnoides*, there was a significant difference among treatments in their germination success  
720 ( $F_{3, 117}=7.295$ ,  $p<0.001$ ; Fig. 1e). The proportion of seeds germinated was significantly lower in all dry  
721 seed treatments than in the fresh control seeds (fresh vs. dry stored  $p<0.01$ , 95% C.I.=[-0.38, -0.4])  
722 (fresh vs. fridge stored  $p<0.01$ , 95% C.I.=[-0.47, -0.08]) (fresh vs. freezer stored  $p<0.001$ , 95% C.I.=[-  
723 0.44, -0.1]). However, there was no significant difference between dry stored seeds and fridge stored  
724 ( $p=0.845$ , 95% C.I.=[-0.25, 0.13]), or dry stored and freezer stored ( $p=0.796$ , 95% C.I.=[-0.23, 0.11]).  
725 Additionally, there were no germinations in the fridge or freezer stored treatments. CI (Confidence  
726 Interval) shown for these comparisons represents the mean difference between treatments.

727 For *C. robusta*, there was no significant difference among treatments in their germination success ( $F_{3, 173}=0.607$ ,  $p = 0.611$ ; Fig. 1b), and in *C. autumnalis* there were no germinations in the dry stored,  
728 fridge stored, or the freezer stored treatments (Fig. 1d).

730 In summary, *C. robusta*, which I have designated orthodox, there was no significant difference  
731 between any of the storage treatments and it appears likely that *C. robusta* is desiccation and cold  
732 tolerant, at least down to  $-20^{\circ}\text{C}$ . *Coprosma propinqua* I have designated intermediate; this is due to  
733 there being a significant difference between the control group and all other storage treatment  
734 groups (Fig. 1). We see that dry stored and fridge stored seeds are similar, and that fridge stored, and  
735 freezer stored seeds are similar, this shows a steady decline in storage viability as seeds are dried,  
736 and then cooled (Fig. 1). However, germination still occurred in these treatments, and at the same  
737 speed in dry stored and fridge stored treatments, while freezer stored seeds were slightly slower to  
738 germinate (Fig. 2). This slow drop in viability suggests that it is not impossible to store these seeds,  
739 but that they are more sensitive than orthodox seeds such as *C. robusta*.

740 *Coprosma rugosa* also appears to be intermediate for similar reasons to *C. propinqua*, in that it  
741 shows a drop off in viability as treatments intensify. *Coprosma rugosa* showed significant differences  
742 between the control seeds and the storage treatments, however there were still germinations in  
743 those groups, suggesting intermediate categorisation (Fig. 1). There is also a significant difference  
744 between the dry stored and the other two cold treatments, suggesting that some level of desiccation  
745 tolerance may exist, but that cold tolerance is unlikely, hence I have given an intermediate  
746 classification. *Coprosma rhamnoides* produced no germinations in its cold storage treatments, and  
747 germination was so low in the dry seed trial that it was not significantly different to the cold  
748 treatments (Fig. 1). However, some germinations occurred in the dry seeds, suggesting that there

749 may be some level of desiccation tolerance, although it seems so small that these seeds may be  
750 recalcitrant. I have chosen to designate them intermediate/recalcitrant, as it is difficult to tell from  
751 just this experiment, and more research will be needed on this species to confirm its preferences.  
752 *Coprosma autumnalis* appears to be recalcitrant as there were no germinations in any of the dried  
753 seeds, despite having been one of the easier species to germinate the fresh seeds of (Fig. 1). Given  
754 that cold stratified seeds in the germination protocol testing were successful, drying appears to be  
755 the problem, suggesting that the seeds are not desiccation tolerant (Fig. 1).

756

## 757 Discussion

758 The results of this study suggest that there is variability across the *Coprosma* genus, both in their  
759 ideal germination methods, and in their ability to be stored in a conventional seed bank. Scarification  
760 is seen to be the one germination method present across all seeds in this study as having a significant  
761 effect on breaking dormancy. Other methods also produced high germination rates alongside  
762 scarification however, and none of the species had one stand out method that worked better than  
763 the others, except when the raw data of germination and time to germination was taken into  
764 consideration. This high success rate of scarification methods is in line with the literature on breaking  
765 seeds which display non-deep physiological dormancy, suggesting that this is the case for *Coprosma*  
766 (J. Baskin & Baskin, 2003). Previously it has been identified that *C. robusta* germination rates are  
767 improved by stratification at 5°C, while this study used -4°C as a stratification temperature, it also  
768 showed a shorter time to germination when seeds were stratified versus the control (Mackay et al.,  
769 2002; Rowarth et al., 2007). *Coprosma robusta* is also a pioneering shrub that is capable of growing  
770 in poor soils, this adds to the robustness of this seeds ability to germinate regardless of the  
771 conditions imposed on it, as has been seen in this study across all treatments (Mackay et al., 2002).  
772 This ability to survive, and thrive, in all conditions confirms that this species is orthodox in its storage  
773 behaviour, and can safely be dried to <20% without loss of viability (Mackay et al., 2002).

774 For *C. propinqua*, germination tests showed that scarification and stratification, both separately and  
775 combined, all increased germination rates. While no research has specifically looked at these factors,  
776 Young & Kelly (2018) have shown that *C. propinqua* germination success is enhanced by increased  
777 shade. This preference for cold stratification and shading in early stages of growth may suggest that  
778 cooler conditions are more optimal for these seeds (Young & Kelly, 2018). However, the results of this  
779 study have also shown that scarifying of *C. propinqua* is also a major factor in the germination  
780 success of these seeds. Together, these two treatments produced the greatest number of  
781 germinations. The results also showed that *C. propinqua* seeds are likely intermediate in storage

782 behaviour. This species is widespread across Aotearoa in both wet rainforest-like habitats, through to  
783 drought prone zones, meaning it likely has some tolerance to drying, even if it is not a true orthodox  
784 seed (Molloy, 2019). Non-orthodox seeds (intermediate or recalcitrant) occur at a higher rate in wet,  
785 systems where dry conditions are uncommon and this trend could explain in part the drop off in seed  
786 viability when dried (Wyse et al., 2023). More research into how long *C. propinqua* seeds can survive  
787 when dried in storage will be needed to confirm to what extent it is non-orthodox, and if traditional  
788 storage is a viable option.

789 For *C. rugosa*, germination tests showed that scarification was the optimal treatment, but that even  
790 stratification was able to produce a greater rate of germination than the control seeds. This is not  
791 something that has been explored in the literature previously to date but seems to follow the trend  
792 of scarification increasing germination across many of the members of *Coprosma*. Additionally,  
793 storage ability has also not been explored, however given surveys of habitat preference for *C. rugosa*  
794 it seems to make sense that it is non-orthodox. In a study by Walker et al (2004), they found that *C.*  
795 *rugosa* seems to be less tolerant of the extremes of drought and frosts, and also that it survives  
796 mainly in moist areas serving as fire refugia. Plants in these wetter habitats with low drought  
797 tolerance tend to be less desiccation tolerant, and therefore more likely to be non-orthodox (Wyse et  
798 al., 2023). Given the results from this study, *C. rugosa* could be recalcitrant, but given that there were  
799 still some germinations in dry treatments it may be more appropriate to label it intermediate. As  
800 with *C. propinqua*, more research on timeframes of storage is needed to understand how recalcitrant  
801 or intermediate these seeds are.

802 For *C. rhamnoides*, germination tests showed a low overall germination success rate, although  
803 scarification proved to be a useful method to increase germination, with stratification having little to  
804 no effect either way. *Coprosma rhamnoides* appears to also be non-orthodox in its storage ability,  
805 more so than the previously discussed species as it had no germinations in dry and cold treatments.  
806 More research is needed here, both on the time in which seeds may be able to be kept dry at room  
807 temperature, given that it seems unlikely they can be kept in cold storage. Additionally, other factors  
808 such as an unhealthy parent plant, or numerous other possible environmental factors may have  
809 damaged the seeds before they arrived in the lab reducing their viability. Regardless this species  
810 could benefit from further research.

811 For *C. autumnalis*, germination tests showed stratification has a significant negative effect on  
812 germination success. However, scarification may have a slightly positive effect, although this was not  
813 significant in this study. Given that *C. autumnalis* also had no germinations when dried it appears to  
814 exhibit a lack of desiccation and cold tolerance, supporting the result that it is recalcitrant. Being the

815 only truly recalcitrant species in this study, was also the only seed sourced in the upper North Island,  
816 while the rest were from the central parts of the South Island. Northern forests in Aotearoa have  
817 forest systems which resemble rainforests, and have been predicted to have higher rates of non-  
818 orthodox species given the wetter environments (Wyse et al., 2023). It seems unlikely that this  
819 species therefore can be stored using traditional methods and will require more complex systems to  
820 store it if needed.

821 In addition to these five species, *Coprosma foetidissima* J.R.Forst. et G.Forst was found by Burrows  
822 (1996) to be recalcitrant, given a huge drop in germination success after five months of dry storage.  
823 Burrows also highlights that the seeds seem to prefer remaining as moist as possible between  
824 collection and planting, but that a small amount may be able to survive light drying, similar to other  
825 *Coprosmas* (Burrows, 1996). This also seems to follow the trend of preferring a wetter habitat with  
826 high rainfall that we have seen in others (Burrows, 1996). *Coprosma lucida* J.R.Forst. et G.Forst  
827 however was identified by Burrows (1997) as orthodox, given successful germinations after drying.  
828 They also note additionally that chilling, or stratification, may be a useful method in increasing  
829 germination rates (Burrows, 1997).

830 Habitat and distribution seem to play a large role in beginning to predict what the storage behaviour  
831 of *Coprosma* species might be. Although there does not seem to be any obvious trends across the  
832 genus in Aotearoa. Phylogenetically, *C. foetidissima* is in Clade 1, *C. rhamnoides*, is a member of  
833 Clade 2, and the rest of these species (including *C. lucida*) are in Clade 3, however given the variation  
834 across clade 3, and the lack of data from the other clades this does not allow for any conclusions to  
835 be drawn (Cantley et al., 2014).

836 Aside from Aotearoa, the next largest hotspot of *Coprosma* diversity is in Hawai'i, where research  
837 into storage behaviours has progressed (Cantley et al., 2014; Chau et al., 2019). Chau et al (2019)  
838 have identified that all members of Rubiaceae display some degree of freeze sensitivity, while also  
839 displaying wide variability in storage longevity, excluding below -18°C collections. They suggest that  
840 although many of the species in Rubiaceae appear orthodox this is only within a short time frame of  
841 roughly two years or less, and that if experiments or monitoring ran longer, there would be a  
842 decrease in the viability of frozen collections (Chau et al., 2019). Chau et al (2019) does also pose  
843 that more research across Rubiaceae is needed to confirm these predictions. As more projects  
844 emerge in Aotearoa going forward, it is useful to reinforce the need for continual monitoring past 2-  
845 year marks to ensure that collections remain as healthy as possible.

846 The Aotearoa seed system is still in the beginning stages of understanding the behaviour of native  
847 seeds in long term storage environments. Current estimates suggest that we only know how to store

848 22% of native seeds, and that compared to global averages, will have a higher proportion of non-  
849 orthodox species than other countries (Wyse et al., 2023). Knowledge of storage behaviour is also  
850 biased, in that we know the most about tall species from low elevation, creating an even larger gap  
851 in understanding for the likes of shrubs, and high altitude species (Wyse et al., 2023). Within this, a  
852 few trends relevant to *Coprosma* are also apparent, one such trend is that fleshy fruits, and those  
853 which are often dispersed by animals are more likely than others seeds to be non-orthodox (Wyse et  
854 al., 2023). Of these, dispersal seems to be the strongest indicator when predicting the behaviour of  
855 woody species (Wyse et al., 2023). Given these trends, it makes sense that *Coprosma* would likely  
856 have non-orthodox species, and the results of this study also seem to support this high incidence of  
857 non-orthodox species. However not all of *Coprosma* follows this, *C. robusta* and *C. lucida* are both  
858 orthodox species, seemingly against this prediction (Burrows, 1997). This is not to say however that  
859 we cannot predict to some degree the behaviour of these species, but that finding the similarities  
860 which are associated with non-orthodox behaviour may be more complex.

861 Given this lack of knowledge, both in regard to Rubiaceae and specifically *Coprosma*, management of  
862 these seeds in collections will also need to involve research through continual monitoring. This  
863 means that for collections of seeds in which the storage behaviour is known, research into the limits  
864 of that species, desiccation and freezing tolerance levels, must be conducted. In the case for  
865 orthodox seeds in which they can be at the least dried, continual monitoring of these collections is  
866 needed to see at what point, be that 2, 5, or even 10 years, do these seeds lose viability. This is  
867 especially vital given the findings from Hawai'i which suggest that current research has not gone on  
868 long enough to know this, while simultaneously pointing out that all of Rubiaceae may be sensitive  
869 to freezing (Chau et al., 2019). This is a long process and will require a commitment from those  
870 managing collections and seed banks with these species to allow the space for this research to  
871 proceed.

872

## 873 Conclusion

874 This chapter has begun to explore the intricacies of seed storage within the *Coprosma* genus  
875 members of Aotearoa. The results show that there can be significant variation across closely related  
876 species within the same genus when it comes to seed behaviour during germination, and when  
877 treated to a variety of seed storage conditions. The genus seems to show signs of non-orthodox  
878 behaviour, with some exceptions, and wider research has suggested that this may be true for  
879 Rubiaceae as well when looking at storage over two years (Chau et al., 2019). Ultimately however,  
880 more research into both the *Coprosma* genus, and the wider flora of Aotearoa is needed. Research

881 needs to focus on identifying the sensitivity limits of more species beyond what was studied in this  
882 chapter, and also on identifying how long these seeds can be stored for once they have been dried  
883 out.

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## 912 Chapter 3: Protocols for Appropriate Seed Banking from a Te Ao Māori 913 Perspective

914

### 915 Abstract

916 As the effects of climate change, species loss, and risk of disasters increases, it is more important  
917 than ever to ensure the survival of important plant species and their genetic diversity. One response  
918 to this is the ex-situ method of seed banking, which allows for the germplasm of plants to be stored  
919 for decades in fit-for-purpose facilities. However, historically, conservation and its institutions have  
920 ignored the human component of environmental protection; specifically the voices and rights of  
921 Indigenous peoples. Indigenous peoples have intimate connections to place, and knowledge which  
922 will be vital to the future success of programs aiming to respond to increasing environmental  
923 pressures. This chapter aimed to explore the current international discourse on the rights of  
924 Indigenous peoples to control and access their culturally important seeds, with specific discussion  
925 around the rights of Māori, the Indigenous peoples of Aotearoa. Here I discuss local guidelines and  
926 legal precedents in Aotearoa related to seed ownership and access and propose a set of best-practice  
927 guidelines for working with Māori on seed banking. These protocols bring together the current  
928 literature on appropriate engagement, and personal experiences of myself and colleagues as Māori  
929 people working in the environmental space, both locally in Aotearoa and internationally.

930

### 931 Introduction

932 To address the many current and emerging issues that result from climate change, habitat  
933 destruction, and biodiversity loss, seed banking will be a vital ex-situ conservation strategy (Chapman  
934 et al., 2019; de Lange et al., 2018; Nadarajan et al., 2021). However, traditional approaches to  
935 conservation have historically ignored the effects of environmental management on people, while  
936 viewing the natural world as a resource that is separate from people (Davidson-Hunt et al., 2012;  
937 Zaitchik, 2018). This distinction between the supposed natural world and the cultural, social world of  
938 human activity is fundamentally the difference between the Indigenous worldview and the western  
939 paradigm (Davidson-Hunt et al., 2012; Zaitchik, 2018). In many cases, protected areas either partially  
940 or fully overlap with the traditional territories of Indigenous peoples. In these cases, governments  
941 often aim to remove those peoples using policy and sometimes also force (Luoma, 2023; Springer,  
942 2009; Zaitchik, 2018). This form of environmental protection is often called “fortress” conservation,  
943 speaking to the way in which land is locked away for only those activities deemed appropriate by

944 governments (Domínguez & Luoma, 2020). It comes from the assumption that local people will  
945 damage landscapes by living in them, but that other activities such as tourism and scientific study are  
946 fine (Domínguez & Luoma, 2020; Zaitchik, 2018). This thinking, however, is fundamentally flawed;  
947 global evidence has shown that when Indigenous peoples are allowed to live on their land and  
948 maintain their connection to land and ecosystems, the environment flourishes (Domínguez & Luoma,  
949 2020; Garnett et al., 2018; Zaitchik, 2018). Indigenous peoples have the longest histories in these  
950 places, they know the ecosystems intimately, and have the greatest stake in the success and  
951 protection of conservation land, for without it, their cultures die, and in the worst case so do their  
952 people (Zaitchik, 2018). This is why conservation has in recent years been called the legacy of  
953 colonisation (Sully, 2016), and can be summed up perfectly with a quote from Indigenous delegates at  
954 the International Union for Conservation of Nature's 5th World Park's Congress in 2003,

955           “First we were dispossessed in the name of kings and emperors, later in the name of state  
956           development, and now in the name of conservation”(Luoma, 2023).

957 Traditional conservation methods have therefore continued the legacy of colonisation, indirectly  
958 resulting in landscape degradation through the removal of traditional guardians. This has also  
959 directly created negative social, economic, and cultural outcomes for Indigenous peoples globally  
960 (Davidson-Hunt et al., 2012; Domínguez & Luoma, 2020). For Indigenous peoples, their local  
961 systems are more than just parks; the forest is their chemist, rivers their supermarket, the soil their  
962 fridge. The environment provides for them everything that in modern society is provided artificially,  
963 and to separate them from their places is akin to taking all these services away from a community  
964 (Zaitchik, 2018). It becomes obvious then that Indigenous peoples will suffer when removed from  
965 their homes; adding on to the additional pressures of colonisation, racism, oppressive policies, and  
966 urbanisation, it is almost impossible for Indigenous peoples to reconnect and recover (Lyver et al.,  
967 2019).

968 Therefore, in response to the growing recognition that global conservation methods are not working,  
969 as evidenced by our current biodiversity and climate crises, there is an ever-growing pool of  
970 literature, and a societal push, to include Indigenous peoples more in environmental protection and  
971 restoration (Lambert et al., 2018; Zaitchik, 2018). Unfortunately, given the additional pressures on  
972 these communities, there are often few members left in Indigenous groups who are resourced, and  
973 most importantly still connected to their traditional homes and the knowledge that is associated  
974 with these places.

975 One way in which Indigenous peoples have begun to engage in recent years, however, is in the  
976 management and collection of seeds. Specifically, an example of how this has occurred is through

977 nurseries and restoration planting projects, where Indigenous peoples are becoming increasingly  
978 resourced to engage with these kinds of activities (Harris, 1999; Pedrini et al., 2020). Through the  
979 intimate relationship that Indigenous peoples have with their local environments, projects like seed  
980 management and plant propagation allow for them to reconnect to customary practices which in  
981 some cases have been damaged by pressures like colonialism (Harris, 1999).

982 In this chapter I will discuss some examples of how states and researchers have begun to accept that  
983 Indigenous peoples need to be included more in seed collection, research, and seed banking, based  
984 largely on literature from across the environmental space. Additionally, I delve into how Indigenous  
985 communities can be resourced and supported to be involved in seed collection, research, and  
986 banking programs, given the systemic challenges they face. I will also consider how global and local  
987 seed research interacts with, and recognises, Indigenous peoples and their knowledge systems. This  
988 exploration requires examining what protocols, if any, currently exist in the conservation space for  
989 how to work with Indigenous peoples ethically and appropriately. Finally, I will explore the practical  
990 steps that can be taken to address issues with how Aotearoa operates its current seed conservation  
991 systems.

992

## 993 **The State of Global Indigenous Rights with Respect to Plants and Seeds**

### 994 **UNDRIP and UNDROP- Recognition of Indigenous Peoples**

995 The United Nations Declaration on the Rights of Indigenous Peoples (hereafter UNDRIP) was adopted  
996 in 2007 by 144 countries voting in favour (Round & Finkel, 2019; The General Assembly, 2007). This  
997 document aimed to place greater emphasis on the rights of Indigenous peoples within international  
998 law, and to advance conversations globally by establishing a set of rights (Round & Finkel, 2019; The  
999 General Assembly, 2007). Interestingly, the four countries that did not sign in 2007 were Canada,  
1000 Australia, the United States of America, and New Zealand all nations with deep colonial histories  
1001 (Round & Finkel, 2019). New Zealand signed on to UNDRIP in 2010. It is worth noting that being a  
1002 signatory does not mean that a country holds any legal responsibility to implement or do anything  
1003 with UNDRIP; the nature of declarations is that they are not legally binding (Round & Finkel, 2019). In  
1004 addition to general standards on the rights of Indigenous peoples, UNDRIP also has several highly  
1005 specific articles, one of which, article 31, makes the first direct reference to the right to seeds in  
1006 international law (Round & Finkel, 2019; The General Assembly, 2007).

1007

1008 The article states as follows:

1009 “Article 31

1010 *1. Indigenous peoples have the right to maintain, control, protect and develop their cultural*  
 1011 *heritage, traditional knowledge and traditional cultural expressions, as well as the*  
 1012 *manifestations of their sciences, technologies and cultures, including human and genetic*  
 1013 *resources, seeds, medicines, knowledge of the properties of fauna and flora, oral traditions,*  
 1014 *literatures, designs, sports and traditional games and visual and performing arts. They also*  
 1015 *have the right to maintain, control, protect and develop their intellectual property over such*  
 1016 *cultural heritage, traditional knowledge, and traditional cultural expressions.*

1017 *2. In conjunction with indigenous peoples, States shall take effective measures to recognize*  
 1018 *and protect the exercise of these rights (The General Assembly, 2007).”*

1019 This article recognises in an official international capacity that Indigenous peoples have a right to  
 1020 “maintain, control, protect and develop” their seeds (Golay et al., 2022).

1021 The United Nations Declaration on the Rights of Peasants and Other People Working in Rural Areas  
 1022 (hereafter UNDROP), was adopted in 2018 (UN Rights Council, 2018). Similarly to UNDRIP, this was  
 1023 not signed by Canada, and voted against by Australia, the United States of America, and New  
 1024 Zealand, among a few others (UN Rights Council, 2018). This declaration, however, also makes strong  
 1025 references to seeds, and local peoples’ rights to them. Article 1 states explicitly that this applies to  
 1026 Indigenous peoples, as well as peoples involved in “... artisanal or small-scale agriculture, [and] crop  
 1027 planting...” (UN Rights Council, 2018). Article 19 focuses on the rights to seeds of rural peoples,  
 1028 specifically:

1029 “Article 19

1030 *1. Peasants and other people working in rural areas have the right to seeds, in accordance*  
 1031 *with article 28 of the present Declaration, including:*

1032 *(a) The right to the protection of traditional knowledge relevant to plant genetic resources for*  
 1033 *food and agriculture;*

1034 *(b) The right to equitably participate in sharing the benefits arising from the utilization of*  
 1035 *plant genetic resources for food and agriculture;*

1036 *(c) The right to participate in the making of decisions on matters relating to the conservation*  
 1037 *and sustainable use of plant genetic resources for food and agriculture;*

1038 *(d) The right to save, use, exchange and sell their farm-saved seed or propagating material*  
 1039 *(UN Rights Council, 2018).”*

1040 Article 19, again, recognises the rights that both local peoples and Indigenous peoples have to their  
1041 important seeds and species. Specifically, it gives the right to seed banking through 1.d, as well as to  
1042 benefit sharing through 1.b (UN Rights Council, 2018). Potentially, the most important part of this,  
1043 however, is 1.c, which gives local peoples the right to decision making power over their key plant and  
1044 crop species (UN Rights Council, 2018).

1045 While the majority of the world's nations have signed UNDRIP and UNDROP, few have implemented  
1046 them in to law (Golay et al., 2022). In Canada, the province of British Columbia passed legislation  
1047 requiring the creation of an action plan to guide them in achieving the aspirations of UNDRIP (Golay  
1048 et al., 2022). More recently and in relation to seeds, Ecuador referred specifically to UNDRIP, as well  
1049 as UNDROP (United Nations Declaration on the Rights of Peasants), in the Constitutional Court of  
1050 Ecuador (Golay et al., 2022). This took place in 2022, and highlighted the obligation of the state to  
1051 assist in the development of rural communities, and more specifically give Indigenous peoples the  
1052 right to "maintain, control, protect and develop" their own knowledge (Golay et al., 2022).

### 1053 **Issues with acknowledging Indigeneity**

1054 While some countries have begun to incorporate UNDRIP into law, many refuse to identify their  
1055 Indigenous peoples as such, one such example of this is The People's Republic of China (PRC) (Davis,  
1056 2014). While they have signed UNDRIP, among other human rights treaties, they have never  
1057 acknowledged the Indigenous status of Indigenous ethnic groups in PRC (Davis, 2014). Some of these  
1058 groups have in recent years begun to protest their lack of recognition, namely Tibetans, Uyghurs, and  
1059 Mongols, but with little international support (Davis, 2014). Another similar example is in Viet Nam  
1060 where the Indigenous Khmer-Krom are also not recognised officially and are instead considered an  
1061 ethnic minority group (Monje et al., 2021).

1062 When looking at Europe, however, things quickly become more complicated. The history of Europe  
1063 has historically lacked a focus on ethnic minorities and their movement, favouring a stronger focus  
1064 on religious minorities (Grote, 2006). In Germany, the Sorbs seem to fit the definition of Indigenous;  
1065 they migrated into the region in 600 AD when Slavic tribes moved west, but are rarely if ever  
1066 identified as Indigenous peoples, instead called "national minorities" (Grote, 2006). This trend is seen  
1067 across Europe, with the exception of one group, the Sámi, who are the only officially recognised  
1068 Indigenous group in Europe, with their tribal nation spanning across Sweden, Norway, Finland, and  
1069 Russia (Grote, 2006).

1070 These examples show yet another obstacle that Indigenous peoples face globally. While this thesis  
1071 will focus on the New Zealand context of seed banking and the issues facing Māori, it is still

1072 important to understand the wider global context which informs documents such as UNDRIP and  
1073 UNDROP.

#### 1074 **Other International Policies**

1075 In Africa things have progressed very differently to the previous examples of Asia and Europe. Before  
1076 UNDRIP, came the African Union's Model Legislation for the Protection of Indigenous Knowledge  
1077 (Zerbe, 2005). This model law attempted to align the many differing international instruments  
1078 relating to biodiversity and create rights for rural and Indigenous peoples (Zerbe, 2005). The push for  
1079 this came from a recognition of the value of Indigenous knowledge among the union members, and  
1080 that the current protections on the use of medicinal plant genetic resources specifically was  
1081 inadequate (Zerbe, 2005). Additionally, assessments of the value of Indigenous and local knowledge  
1082 in the region at the time had suggested it comprises a US \$32 billion annual market, making benefit  
1083 sharing a huge issue at the time (Zerbe, 2007). Since the creation of the model legislation, numerous  
1084 other documents, protocols, laws, and other legal procedures have included mention of rural  
1085 people's rights (Oguamanam, 2023). However, while Indigenous knowledge is mentioned throughout  
1086 legal instruments in the region, at their highest level these instruments are weak (Oguamanam,  
1087 2023). Nevertheless, the progressive nature and the directness of these legal instruments, especially  
1088 at the regional level, shows that the region is improving its processes (Oguamanam, 2023).

1089 In North America, Native American communities have several legal instruments and avenues  
1090 available to them regarding their rights to seeds, with varying levels of strength. Of these, one of the  
1091 most well-known is the Native American Graves Protection and Repatriation Act (NAGPRA), which  
1092 provides guidelines for the return of specific objects of cultural importance (Hill, 2017). Under these  
1093 guidelines, seeds can be repatriated if they meet a set of requirements under the act; however, in  
1094 most cases these seeds are not being kept in environments that keep them viable (Hill, 2017).  
1095 Nevertheless, this act and its implications still provide interesting context to the accepted value of  
1096 seeds as a culturally significant object (Hill, 2017). Another key document is the Protocols for Native  
1097 American Archival Materials, which calls on archives in the US to better partner, and share resources,  
1098 with Native American groups (Hill, 2017). These protocols were designed to partner with NAGPRA  
1099 and create guidelines for the return of culturally important objects that are not human remains  
1100 (AOAIA, 2024).

1101 From these examples, it is clear that there is much more work needed globally to address the issues  
1102 facing Indigenous peoples. Due to the diversity of nations, peoples, and their histories, there is no  
1103 one answer for everyone on how best to resolve the past and move on. While it is important to be

1104 aware of the global context, the next part of this chapter will primarily focus on Aotearoa, and the  
1105 unique place that Māori have carved for themselves in the environmental space.

1106

## 1107 The Aotearoa Context

1108 As previously mentioned (Chapter 1) , the WAI 262 claim was the first lodged claim to the Tribunal to  
1109 come from Māori across multiple iwi groups, specifically lodged by: Del Wihongi (Te Rarawa); Haana  
1110 (Saana) Murray (Ngāti Kuri); John Hippolite (Ngāti Koata); Tama Poata (Te Whānau-a-Ruataupare,  
1111 Ngāti Porou); Kataraina Rimene (Ngāti Kahungunu); and Witi McMath (Ngāti Wai) (Houghton, 2021;  
1112 Jones, 2012; Potter & Māngai, 2022; Sutherland et al., 2011). The claim touches on almost every part  
1113 of Māori society and life, but its initial purpose was to address issues in the use of Māori intellectual  
1114 property (Ataria et al., 2018; Jones, 2012; Potter & Māngai, 2022). While WAI 262 was lodged in  
1115 1991, it was not until 20 years later that the Waitangi Tribunal released its response, Ko Aotearoa  
1116 Tēnei: A report into claims concerning New Zealand law and policy affecting Māori culture and  
1117 identity (Jones, 2012; Potter & Māngai, 2022). This report had a strong focus on the rights of Māori  
1118 relating to flora, fauna, and mātauranga Māori, specifically in regards to use in the science sector  
1119 (Ataria et al., 2018; Geismar, 2013; Potter & Māngai, 2022).

1120 Before exploring the details of WAI 262 and Ko Aotearoa Tēnei, it is important to also understand  
1121 some of the claimed environmental breaches that led to the lodging of WAI 262 (Table 6). In Table 6  
1122 Kūmara, Pōhutukawa, Koromiko, and Puawānanga are all specifically listed as taonga species that  
1123 have been traded, studied, and modified without the input of Māori at any stage (Potter & Māngai,  
1124 2022). As well as these, another 23 native species were identified by claimants as being  
1125 experimented on without appropriate involvement from Māori as is their right under Te Tiriti o  
1126 Waitangi (Potter & Māngai, 2022). Additionally, they also identify specific examples where the  
1127 conservation of an animal species was used to deny access to traditional lands, which in turn cuts  
1128 Indigenous peoples off from their taonga species and resources such as seeds (Table 6) (Potter &  
1129 Māngai, 2022). Given these examples, the wide range of breaches, from research to economic  
1130 interests, as well as land access, resulted in Māori nationwide coming together to challenge these  
1131 grievances.

1132

1133

1134 Table 6: Key breaches of Te Tiriti o Waitangi as are relevant to the WAI 262 claim which took place before 1991 (Potter &  
1135 Māngai, 2022; Sutherland et al., 2011).

<b>Species</b>	<b>Breach</b>	<b>How this is a violation</b>
<b>Kūmara</b>	The loss of kūmara varieties to the Department of Scientific and Industrial Research, who then sent them to Japan in 1964	This denies Māori the ability to exert their rangatiratanga by removing their ability to control cultural IP of kumara (a taonga flora)
<b>Pōhutukawa</b>	The legal creation of a variety of pōhutukawa (var.195 'Carousel') under Plant Variety Rights Act 1987	By granting a Plant variety right to var.195, this is a dismissal of te tino rangatiratanga as related to Indigenous/taonga flora
<b>Koromiko</b>	The use of koromiko in International and Domestic markets, as well as allowing its use in research institutions	By permitting the sale and use of koromiko in marketplaces, there has been a denial of te tino rangatiratanga
<b>Puawānanga</b>	Its use in genetic modification research for the purpose of creating modified cultivars	This is a denial of Māori "...conservation, proprietary, and development rights."(Sutherland et al., 2011)
<b>Pūpū harakeke</b>	The creation of scientific reserves and protected areas in Pūpū harakeke habitats under the Wildlife Act 1953 and denying Ngāti Kuri access to these areas	This decision denies Māori the ability to exercise kaitiakitanga with this species, as well as denying them their tino rangatiratanga
<b>Tuatara</b>	The creation of scientific reserves and protected areas in Tuatara habitats under the Wildlife Act 1953 and denying Ngāti Koata and Ngāti Wai access to these islands. As well as the international export of Tuatara for scientific and diplomatic purposes	By not allowing iwi access to these sites, they denied their right to tino rangatiratanga, as was also done by the trade of Tuatara
<b>Kererū</b>	The creation of scientific reserves and protected areas in Kereru habitats under the Wildlife Act 1953 and denying iwi access to these sites	By not allowing iwi access to these sites they denied their right to tino rangatiratanga

1136

1137 Among this massive document of nearly 800 pages there are a few contentious items, and specific  
1138 terms defined that are crucial to understanding both the wider document and the current  
1139 frameworks of research practice in Aotearoa (Jones, 2012; Potter & Māngai, 2022). Among those,  
1140 potentially the most important is the discussion around taonga species. A taonga is a highly prized or  
1141 valued thing, it can be a prized possession like whalebone, a native plant, or even an idea, for Māori  
1142 there is no difference whether taonga are physical or not (Henare, 2007). For Māori then, all the  
1143 species and parts of the native ecosystem of Aotearoa are a taonga, their combined interactions  
1144 maintain the things that make Māori unique and define them. Iwi and hapū also are promised tino  
1145 rangatiratanga (the unqualified exercise of chieftainship) or authority over taonga under Te Tiriti o



1146 Waitangi (Ataria et al., 2018). However, the Tribunal chose to limit the definition of taonga species in  
1147 Ko Aotearoa Tēnei to only those species that are known, and those to which Māori have a body of  
1148 traditional knowledge (Potter & Māngai, 2022). Practically, this produced a scale of what the Tribunal  
1149 identifies as a form of kaitiaki to taonga relationship of involvement (Potter & Māngai, 2022). The  
1150 scale is as follows:

- 1151 1) *“Full decision-making authority in the hands of kaitiaki.*
- 1152 2) *Partnership with the Crown, where there is genuinely shared decision-making.*
- 1153 3) *Influence over Crown decisions that affect kaitiaki relationships, such as through formal*  
1154 *consultation mechanisms (Potter & Māngai, 2022).”*

1155 The Tribunal outlined that they believed that the involvement of kaitiaki should depend on the level  
1156 of impact that proposed research would have on the kaitiaki relationship, and that this would  
1157 determine where it fell on the scale (Potter & Māngai, 2022).

1158 In its response, the Tribunal also directly contradicted UNDRIP in regards to where rights to the  
1159 environment originate from in the Indigenous context (Potter & Māngai, 2022; The General  
1160 Assembly, 2007). Specifically, the Tribunal claims that because the environment itself predates  
1161 Māori, they cannot express tino rangatiratanga over it, even though it is guaranteed in Te Tiriti o  
1162 Waitangi (Potter & Māngai, 2022). UNDRIP specifies, however that rights are dependent on who the  
1163 first peoples of the land are, rather than the justification provided by the Tribunal (Potter & Māngai,  
1164 2022; The General Assembly, 2007).

1165 Ko Aotearoa Tēnei, aside from these contentious issues, acknowledged that the Crown had fallen  
1166 short of protecting the kaitiaki to taonga species relationship that it is required to protect under Te  
1167 Tiriti o Waitangi (Ataria et al., 2018; Houghton, 2021; Potter & Māngai, 2022). From this, the Tribunal  
1168 recommended several required legal changes, these covered changes such as, amending the  
1169 Hazardous Substances and New Organisms Act 1996, establishing a Māori committee to advise the  
1170 Commissioner of Patents, and empowering the commissioner to reject patents that violate the  
1171 kaitiaki relationship, among other recommendations (Jones, 2012; Potter & Māngai, 2022).  
1172 Unfortunately, among all these recommendations from the Tribunal, nothing was addressed by the  
1173 Crown, and within government, nothing would happen again until 2018 (Potter & Māngai, 2022).

1174 In 2018, a conference was hosted in response to Crown inaction after Ko Aotearoa Tēnei, and as a  
1175 result a paper communicating the desire for a co-developed plan to address WAI 262 was presented  
1176 to government (Potter & Māngai, 2022). Later in 2019, the Crown finally responded with ‘Te Pae  
1177 Tawhiti’, this document is their initial proposal to address the grievances of WAI 262 and the

1178 recommendations of Ko Aotearoa Tēnei (Jones, 2012; Potter & Māngai, 2022). Te Pae Tawhiti is a  
1179 work programme designed to address some of the Crowns breaches as outlined in WAI 262 and Ko  
1180 Aotearoa Tēnei, with a focus on acknowledging the ways in which the Crown has prevented Māori  
1181 from exercising tino rangatiratanga (Jones, 2012). One important step that this response has taken  
1182 however, is to focus on co-design, this means that the process is open to change from both sides,  
1183 rather than being entirely a Crown directive (Jones, 2012).

1184 At the heart of WAI 262 is a call for the Crown to honour the promises made in Te Tiriti o Waitangi,  
1185 and specifically to allow those who have always protected Aotearoa's taonga to continue to do so  
1186 (Ataria et al., 2018). Through the responses, it is shown that Māori must be able to navigate a  
1187 complex and ever-shifting political environment in order to best protect taonga is complex and ever  
1188 shifting. This also shows how long it takes for change to occur; in this case it took 28 years from the  
1189 initial claim being lodged to the formal government response being released, and that does not  
1190 include the time it will still take for these commitments to be met (Jones, 2012; Potter & Māngai,  
1191 2022). Jones (2012) expresses a word of caution as systems transition from a Ko Aotearoa Tēnei era  
1192 into a Te Pae Tawhiti one. It has taken so long to get traction with WAI 262 that with all the promises  
1193 made, Māori could be waiting another 28 years for true progress.

1194 Regardless of how these documents change and what names are used, the heart of the issue stays  
1195 the same, and that is that Māori expect Te Tiriti o Waitangi to be honoured (Ataria et al., 2018; Jones,  
1196 2012; Potter & Māngai, 2022; Sutherland et al., 2011). WAI 262 placed specific importance on tino  
1197 rangatiratanga and kaitiakitanga as these are key promises made in Te Tiriti o Waitangi, which as they  
1198 point out, were not met. Therefore, in regard to seeds being stored in Aotearoa, as well as native  
1199 seeds being stored overseas, these rights must be enforced for any seed bank to call itself ethical.  
1200 Māori must have the ability to exert rangatiratanga over their seeds wherever they are in the world  
1201 and be able to carry out their roles as kaitiaki of their taonga.

1202 While WAI 262 and its subsequent guidelines, frameworks, and documents highlight the level of  
1203 public and government recognition that Māori knowledge and rights receives against what is  
1204 expected by Māori, the science and research sector has been left in many ways to its own devices.  
1205 This has meant that in some, but not all, spaces guidelines and checks have been brought in without  
1206 a strong policy direction to try and address these inequalities (Potter & Māngai, 2022). This holds  
1207 true for seed banking too, where local collections have been left to do what they deem to be best  
1208 practice.

## 1209 Current Best Practice and Protocol Models

### 1210 How Western Systems Currently Deal with Indigenous Collections

1211 As we have seen, both in Aotearoa and beyond there are numerous different strategies and protocols  
1212 related to how those working within Western science and conservation can best engage with  
1213 Indigenous peoples around biological materials such as seeds. Here I will look at some specific  
1214 examples of how Western institutions and researchers have chosen to engage and work with  
1215 Indigenous groups, and how they acquire seeds and other cultural collections.

1216 One of the largest collections of seeds and plant materials from around the world is that of the  
1217 British Crown, stored in part within The Royal Botanic Gardens, Kew's Millennium Seed Bank, among  
1218 other institutions and facilities across the UK (Chapman et al., 2019). These collections are a result of  
1219 colonisation; they began in a time when British colonisation and exploration was at its peak and the  
1220 goal was to collect as much from expeditions as possible, often in the name of scientific discovery  
1221 (Antonelli, 2020). While, among these facilities, the Millennium Seed Bank is the only one dedicated  
1222 to seed storage and collection, other locations house cultural collections made from seeds and other  
1223 plant materials collected from around the world. Samples are stored at Royal Botanic Gardens Kew in  
1224 London, alongside a larger biocultural collection of 95,000 specimens and plant-based artefacts  
1225 dating back to as early as the 19<sup>th</sup> century (Antonelli, 2020; Nesbitt, 2024). This colonial history does  
1226 not only rest with the UK unfortunately, and organisations that store seeds around the world must  
1227 reconcile an often-similar history. So, what have current Western, specifically UK and US, curators  
1228 and researchers written on how to maintain seed banks? I will discuss both the specific protocols and  
1229 methods used by certain institutions, as well as discuss the methods behind projects which have  
1230 sought to engage with locals and Indigenous communities.

1231 The Royal Botanic Gardens, Kew's Millennium Seed Bank differs from other seed banks in that they  
1232 have a stronger focus on wild plants, while other banks tend to focus on food crops and their wild  
1233 relatives (Chapman et al., 2019; Dierig et al., 2014). The Millennium Seed Bank's broader focus  
1234 comes from one of their key goals, which is to have a collection representing as many native UK  
1235 species as possible (Chapman et al., 2019). Additionally they also have projects across the world in  
1236 developing nations assisting locals in building collection practices, part of which involves storing  
1237 back-up collections at the Millennium Seed Bank itself (Antonelli, 2020; Dierig et al., 2014). This  
1238 means that the Millennium Seed Bank often find themselves working with culturally important  
1239 seeds, not only crops, but also medicinal and ecologically significant species (Antonelli, 2020; Dierig  
1240 et al., 2014). The Millennium Seed Bank's 'Useful Plants' project developed from this realisation, and  
1241 involves working with local communities in countries such as Mexico, Mali, Columbia, and Kenya to

1242 identify key species for seed conservation (Antonelli, 2020; Dierig et al., 2014; Ulian et al., 2017). The  
1243 project acknowledges that poverty and loss of biodiversity are linked issues that need to be  
1244 addressed together, not separately (Ulian et al., 2017). Communities are asked to identify which of  
1245 their plants are of the most use, and among them which are lowest in availability (Dierig et al., 2014).  
1246 After identifying any other potential issues in the collection or growing of these species, seed bank  
1247 personnel then proceed to assist with the collection and storage of seeds at both the local level, as  
1248 well as in the UK (Dierig et al., 2014; Ulian et al., 2017). In the process, they also train communities  
1249 and resource them at varying levels to maintain and continue storage after the project's completion  
1250 (Dierig et al., 2014). This project, however, does not specifically target Indigenous peoples; that is not  
1251 to say that they are not involved in these projects, but that they are not the primary focus.

1252 In terms of data, a database using the Botanical Research and Herbarium Management System  
1253 (BRAHMS), stores and sorts information on what seeds and species have been collected globally, as  
1254 well as a range of other data including ethnobotanical and traditional knowledge (Ulian et al., 2017).  
1255 This database is used primarily for monitoring of seeds, and was created to be able to filter out  
1256 sensitive information depending on who is accessing it and for what purpose. Nevertheless it still  
1257 holds traditional local knowledge from across the globe alongside seeds collected through the useful  
1258 plant project (Ulian et al., 2017).

1259 In the US, the focus of seed banking is primarily on agriculturally significant species, of both plants  
1260 and animal germplasms (Dierig et al., 2014). So much so that they often hold hundreds to thousands  
1261 of accessions in agriculturally significant crops (Walters & Pence, 2021). Specifically, the mission of  
1262 their national germplasm system is "to acquire, evaluate, preserve, and provide a national collection  
1263 of genetic resources to secure the biological diversity that underpins a sustainable US agricultural  
1264 economy", across 20 sites nationwide (Dierig et al., 2014). These are overseen by the National Center  
1265 for Genetic Resources Preservation (hereafter NCGRP) who house the entire animal germplasm  
1266 collection, and the largest of the plant collections (Dierig et al., 2014). Standard practice for NCGRP  
1267 is for collection to be undertaken and prepared for storage at regional sites where they store some  
1268 locally, and then send a larger accession to NCGRP for long term secure storage (Dierig et al., 2014).  
1269 These collections primarily serve as a backup of the US's agricultural economy, however roughly  
1270 250,000 accessions are also distributed to scientists and researchers across the world for various  
1271 projects (Dierig et al., 2014; Walters & Pence, 2021). While their focus is mainly on agricultural crops  
1272 across the US, there are still projects that focus on native seed collection for restoration and research  
1273 purposes (Barga et al., 2020). 'Seeds of Success' is one such project, it focuses on seeds of species  
1274 that are important to wildlife such as pollinators, as well as significant seeds to Indigenous peoples  
1275 (Barga et al., 2020). Specifically, its goal is to protect seeds for conservation purposes. Collection sites

1276 are becoming increasingly at risk of fire in the US, in addition to other disasters, and seed storage is  
1277 therefore becoming vital (Barga et al., 2020).

1278 At both The Royal Botanic Gardens Kew and NCGRP, various agreements are held between depositors  
1279 from around the world and the seed banks. Among these agreements a common type is the 'Black  
1280 Box Policy' (Dierig et al., 2014). A black box policy is where the depositor of the seed holds full  
1281 ownership rights, and the seed is not listed on the database of the bank (Dierig et al., 2014). The  
1282 Svalbard Global Seed Vault is the best example of this kind of policy. This seed bank in Norway has a  
1283 focus on storing the most important seeds on behalf of other nations and banks, for worst case  
1284 scenario situations (Dierig et al., 2014).

1285 Dierig et al (2014) attempt to point out some of the issues in these systems and go on to comment  
1286 on and recommend some changes in the field of germplasm storage. The first point they make is that  
1287 germplasm collections should be a result of working with communities, who can assist in collecting  
1288 efforts (Dierig et al., 2014). The position taken is that by working with communities and developing  
1289 long term relationships between the collector and the community, an exchange of information can  
1290 take place alongside germplasm collection (Dierig et al., 2014). They highlight that the broader  
1291 ethical standards of ethnobiology are well suited to these interactions, especially in the case where  
1292 traditional knowledge is exchanged or involved (Dierig et al., 2014; Sutherland & Shephard, 2017).  
1293 Additionally, they note that when a bank external to the community are the ones who initiate  
1294 conservation or collection efforts, they must first build good relationships with Indigenous peoples in  
1295 that area, even if it takes years (Dierig et al., 2014). When engaging with Indigenous peoples,  
1296 personal connections are vital to creating mutually beneficial arrangements that feed back into the  
1297 communities from which collectors and researchers wish to take samples (Sutherland & Shephard,  
1298 2017). Finally, they also mention that Indigenous knowledge has not historically been a focus of  
1299 collection by banks, suggesting that it has not been appreciated by science as a whole until recently  
1300 and will require appropriate management (Dierig et al., 2014; Sutherland & Shephard, 2017).  
1301 However, Indigenous knowledge "supports and complements the genetic, agronomic and  
1302 physiological characterisation of many important crops" (Dierig et al., 2014). Sutherland &  
1303 Shephard (2017) expand on these points by discussing the changing attitudes within botanic  
1304 gardens. These changing attitudes include a focus on changes to the legal status of Indigenous  
1305 peoples, as well as a growing awareness from within communities regarding how they expect to be  
1306 engaged (Sutherland & Shephard, 2017).

1307 In an effort to discuss the ways in which Western institutions currently are trying to better include  
1308 Indigenous peoples and their values, I will briefly summarise some of the protocols that have been

1309 suggested in the literature. While these often differ across international and even domestic borders,  
1310 there are similar threads across the acknowledgements made by seed collecting institutions.

1311 One of these is a focus on respecting and understanding cultural norms, or worldview (Pleasant,  
1312 2014; Shepherd, 2015). The focus here is on respect towards Indigenous peoples, and also  
1313 understanding the fundamental differences in how each party are viewing and thinking about a  
1314 particular activity or project (Pleasant, 2014). Another focus is on legitimacy, or working with the  
1315 appropriate people (Pleasant, 2014; Shepherd, 2015). Being able to identify and work with  
1316 community leaders gives legitimacy to projects, and ensures that the appropriate community  
1317 members are aware of work being undertaken, and involved where appropriate (Pleasant, 2014;  
1318 Shepherd, 2015). For this to work however trust is needed between researcher/collector and  
1319 community members (Shepherd, 2015). This is not something that can be rushed as it requires  
1320 relationships to be built and maintained, so that when issues arise, they can be discussed and  
1321 worked through (Pleasant, 2014; Shepherd, 2015; Sutherland & Shepherd, 2017). Building on the  
1322 theme of trust, frameworks also make reference to identifying the concerns of communities in their  
1323 own environment, and building projects from there (Pleasant, 2014; Shepherd, 2015). The  
1324 advantage here is that these are where local interests are already focused, and where seed  
1325 conservation especially may be best targeted (Shepherd, 2015).

1326 The suggested practices discussed here are very broad, and deliberately so. There is a large array of  
1327 differences among Indigenous peoples in culture, history, circumstance, and attitude towards  
1328 Western and colonial groups (Pleasant, 2014). Institutional practices therefore have remained broad  
1329 and basic while attempting to address and build on their own internal policy.

1330 Ultimately, there are two key points that all these systems raise as being the most important to  
1331 appropriate engagement. The first, is access to lands under the ownership of Indigenous peoples,  
1332 and the second being to ensure benefit sharing (Breman et al., 2021; Dierig et al., 2014; Pleasant,  
1333 2014; Shepherd, 2015; Sutherland & Shepherd, 2017). While these are two vital considerations  
1334 regarding seed collection activities, there are numerous other key considerations, both mentioned  
1335 above, and no yet discussed in the literature.

### 1336 **Review of Western Systems**

1337 Both Western and Indigenous researchers have acknowledged that there is still a long way to go in  
1338 creating better working relationships with Indigenous peoples, especially in regards to possession of  
1339 their valued things, such as seeds (Dierig et al., 2014; Lambert et al., 2018; Pleasant, 2014; Quek &  
1340 Friis-Hansen, 2011). Here I will break down further and review what has been discussed in the  
1341 previous section on current practice among germplasm banks, specifically in their storage of seeds.

1342 Firstly, I will discuss the access to seeds already in storage. At the very least, Indigenous peoples have  
1343 a right to know what has been taken from them and where it is stored, and black box policies are one  
1344 of the instruments used to hide this information. These policies are designed to guarantee depositors  
1345 sole ownership of seeds that they place within seed banks, regardless of where they obtained the  
1346 seeds (Breen, 2015). These agreements are the most secure arrangement that can be held under  
1347 international law (Breen, 2015). The use of black box policies when there is an Indigenous  
1348 connection to relevant seeds, that is not acknowledged or addressed by the depositor, therefore,  
1349 violates the rights of Indigenous peoples to have access and information of their seeds. Seed banks  
1350 that use these policies do not list them as public parts of the collection, meaning that there is no way  
1351 to know what is stored without being part of the relevant agreement itself (Dierig et al., 2014). On  
1352 top of this, while some seed banks may not house seeds under black box policies, most banks send  
1353 back-ups to other larger facilities, one of the biggest of which, Svalbard, uses black box policies. This  
1354 means that while a bank may not list that it has a certain species or quantity of seed, that does not  
1355 mean that it does not have those seeds either stored elsewhere as a backup or in their bank under  
1356 another person or organisations name.

1357

1358 Secondly, while programs such as the 'useful plants project' begin to address past injustices and  
1359 support communities, they fail when dealing with seeds within already stored collections. In this  
1360 program the focus is on building up communities' seed infrastructure, and carrying out research on  
1361 local species that have not been studied by Western scientists (Antonelli, 2020; Dierig et al., 2014).  
1362 This exchange is carried out under a benefit sharing model and allows for an appropriate flow of  
1363 information and resources. However, past collections were not built with this model, and Indigenous  
1364 and local knowledge gained to build scientific knowledge as well as the raw materials such as seeds  
1365 were taken in colonial expeditions, or by settler states (Pleasant, 2014). To repair these relationships,  
1366 institutions must go back to those communities and carry out the cultural engagement that should  
1367 have taken place the first time. One aspect of this may be repatriation of seeds historically collected  
1368 under colonial expeditions or through other dubious means (Hill, 2017). As a part of growing food  
1369 sovereignty movements globally, Indigenous communities are becoming increasingly aware of these  
1370 past injustices and the gains that companies and institutes across the agricultural and science sector  
1371 have made off the back of their treasured seeds (Hill, 2017). Therefore, as a part of addressing  
1372 colonial legacies, seed banks may be required to repatriate seeds, and in turn build capacity at place  
1373 to continue their storage in accordance with the wishes of the traditional guardians of those seeds.

1374 Thirdly, the suggestion of storing traditional knowledge alongside collections raises several concerns  
1375 regarding the rights and ownership of traditional knowledge. A shift has already been seen in the

1376 mindset of collectors as to the value of Indigenous knowledge, and a desire by scientists to collect it  
1377 alongside seeds (Quek & Friis-Hansen, 2011). In the best case scenario, this means that scientists  
1378 engage with and support a community to share their knowledge in a mutually beneficial way that  
1379 empowers knowledge transmission among community members, and informs the scientists' own  
1380 work (Quek & Friis-Hansen, 2011). In the worst case, scientists and collectors continue to exploit  
1381 communities for knowledge where the community does not benefit in any way (Quek & Friis-Hansen,  
1382 2011; Sutherland & Shephard, 2017). Additionally, in relation to the issues around benefit sharing,  
1383 this approach also implies that traditional knowledge needs to be justified by science somehow, and  
1384 that it can only gain value once integrated into the wider science system.

1385 Finally, while the practices suggested by Pleasant (2014) are all good places to begin for institutions,  
1386 they are exactly that, a starting point. In essence they provide a guide for how best to begin  
1387 conversations with Indigenous peoples, but do not even begin to address past injustices in a  
1388 meaningful way. This is not a unique problem to this framework; it is prevalent across the research  
1389 sector and is often not something that researchers can address or fix by themselves (Bang et al.,  
1390 2018; Tsosie, 2012). The history of using science as a tool to justify the policies and decisions that  
1391 have violated Indigenous peoples human rights differs across institutions and nations (Tsosie, 2012).  
1392 It is therefore up to institutions to address their own histories and build more programs like the  
1393 'useful plants project', to drive more funding and resourcing into communities which they have  
1394 benefitted from exploiting.

1395 Building on these issues, it is clear that the efforts by Western institutes to address concerns from  
1396 Indigenous peoples are beginning to be addressed. In Aotearoa, Indigenous rights and cultural  
1397 acceptance have progressed further than in other parts of the world; however, this progression is still  
1398 slow, and from the perspective of Māori has a long way to go (Lambert et al., 2018). In this next  
1399 section, I will begin to address these issues in more depth and attempt to create protocols that  
1400 better fit the specifics of the Aotearoa context.

1401

## 1402 A Way Forward for Seed Collection and Ownership in Aotearoa – Seed Protocols

### 1403 High Level Protocols

1404 Much of the understanding for these protocols come from my own lived experiences as a Māori  
1405 person living in Aotearoa and working for a pan-Māori environmental NGO (Te Tira Whakamātaki).  
1406 This work has been guided by my Kaumātua (elders), professional relationships with Indigenous  
1407 colleagues both locally and internationally, and my own whānau (family group). These suggested  
1408 protocols therefore are a product of both existing literature and my own lived experiences as an



1409 Indigenous person, and my relationships with others across Indigenous communities (see  
1410 acknowledgements).

1411 At the highest level, the focus is on building good relationships between researchers and Māori. By  
1412 doing this, collaborative projects can be carried out in community-led ways that address real issues  
1413 faced in the environmental, conservation, and seed spaces. These protocols are based somewhat on  
1414 those provided by Pleasant (2014), Potter & Māngai (2022), and the wider literature, as well as my  
1415 own background and experience.

1416 At a high-level, seed collection and research must:

- 1417 1. Involve Māori or relevant Indigenous peoples at all levels of the project, from the moment of  
1418 conception, throughout the project, and through to any outcomes and/or outputs that come  
1419 about as a result of the project.
- 1420 2. Acknowledge the history of peoples and places where research and collections are taking  
1421 place, and the history of the institution you are representing with those peoples. Māori and  
1422 Indigenous peoples have long memories and there may be a history of positive interactions  
1423 to lean on, or negative ones to resolve.
- 1424 3. Build long-term relationships, or be a part of ongoing relationships, both between your  
1425 institution and iwi/hapū (tribes), as well as between yourself and members of communities  
1426 (Potter & Māngai, 2022).
- 1427 4. Allow kaitiaki to lead projects involving taonga species; this ensures they can exercise their  
1428 kaitiakitanga appropriately, and when a project does not involve taonga (Native) species,  
1429 ensure true co-governance models are used (Potter & Māngai, 2022).
- 1430 5. Involve and support benefit sharing as a core part of the project; anything less is  
1431 exploitation, especially where mātauranga Māori is concerned (Pleasant, 2014; Potter &  
1432 Māngai, 2022).

1433 These high-level protocols are a figurative line in the sand they represent the things on which I think  
1434 Māori should never compromise. These protocols, while more specific than others explored above,  
1435 are still broad. This, however, reflects the diversity of Māori across Aotearoa, by creating specific  
1436 relationships with mana whenua, the people of that place, they can guide the application of cultural  
1437 protocols appropriate to the situation.

### 1438 **Specific Recommendations**

1439 So far, I have discussed both issues in current seed bank practice, as well as some broad ways in  
1440 which non-Indigenous people and organisations can better engage and form relationships with

1441 Māori. In this section, I will discuss the specifics of how seed collection, processing, and storage can  
1442 be improved by building on these previous sections.

1443 *Before Collection*

1444 Before any project, collection, expedition, or anything takes place, it is crucial to identify and engage  
1445 with the relevant mana whenua where you are intending to work. Social hierarchies not only vary  
1446 across Indigenous peoples internationally, but also among iwi and hapū in Aotearoa, however,  
1447 Kaumātua are generally considered the most respected members of a community, while a Tohunga  
1448 (expert practitioner who may also be a Kaumātua) is likely to be the person looked to in the research  
1449 space (Woodard, 2014). Although these are the most respected members of a community, they are  
1450 probably not going to be your first point of contact, even if they would be able to best inform your  
1451 research. First and foremost, if you have a pre-existing contact with a mana whenua group then use  
1452 that connection, if not, approaching a Rūnanga (tribal council) or an iwi trust may take longer, but  
1453 ensures that you are speaking to those with the authority to make decisions on behalf of their mana  
1454 whenua.

1455 Consultation ensures that any and all work done meets the ethical requirements of the community in  
1456 which you are working in, in the same way that researchers must meet their institute's ethical  
1457 standards (Stephenson & Moller, 2009). Through this process of discussion and honest  
1458 communication, both parties are made totally aware of where each other stands, and what each  
1459 other's goals are. Depending on what species are going to be involved, the next stage will vary. Under  
1460 Te Tiriti o Waitangi, Māori are given full kaitiakitanga over their taonga species; this means that  
1461 where native species are involved, the activity must be led by mana whenua (Potter & Māngai,  
1462 2022). In the case of non-taonga (introduced) species, projects must be co-led and co-developed  
1463 under co-governance models (Ataria et al., 2018). Many iwi, certainly not all, have also been through  
1464 settlements with the Crown; this is an agreement for colonial redress which pays back iwi for past  
1465 grievances. In many of these settlements there is specific reference and inclusion of rights over  
1466 certain areas, and even money allocated for restoration in certain areas (McNeill, 2017). Depending  
1467 on which part of the country and which iwi you are engaging with, their settlement history may also  
1468 play a major role in precisely how and where research/collection is allowed to take place. Most iwi  
1469 have websites where you can contact them to engage, otherwise most major institutions in Aotearoa  
1470 (Universities, Crown Research Institutes, NGO's etc) have pre-existing relationships with Māori across  
1471 the country. Important to note however is that most Māori are not, and have not been, resourced  
1472 historically to build local capacity to engage with most projects that are bought before them (Taiepa  
1473 et al., 1997). This makes it crucial for those wishing to engage and use Māori resources (people,  
1474 expertise, or otherwise) to fund and support those they work with in the same way that they pay and

1475 support their own staff (Taiepa et al., 1997). As has already been mentioned, Institutions may also  
1476 have a pre-existing relationship with mana whenua, using these relationships and ensuring that they  
1477 are nurtured by the institute as a whole, and individual members across their careers will ensure the  
1478 best outcomes.

#### 1479 *Collection of Seeds and Organic Materials*

1480 Collection methodology may differ significantly depending on the place and iwi you are working  
1481 with. This phase is potentially the most variable in what may be expected of you the collector by  
1482 mana whenua. Here I will discuss some of the most likely considerations and restrictions that may be  
1483 placed on you.

1484 The first and most often discussed is karakia. A karakia is best described as a traditional incantation,  
1485 statement of intent, or demand of the natural world, in some cases it may also be a Christian prayer.  
1486 Karakia are used in a variety of circumstances, they may be used to ask for safe passage in a forest, or  
1487 for permission to take something from the environment (Rangiwai, 2018). They may also be used to  
1488 enter and exit a tapu state, a sacred state of restriction that is required in certain places such as  
1489 graves, marae (meeting houses), and certain food gathering sites among others (Rangiwai, 2018).  
1490 Ultimately this will be led by the local mana whenua with whom you are engaging.

1491 Additionally, the return of unnecessary organic material is often asked of researchers. Māori  
1492 traditions and belief have a strong connection to place, and the return of material to the land is often  
1493 part of this tikanga. This may include, where possible, flesh cleaned off fruits, branches, leaves,  
1494 unused seed, non-germinated seed, soil, and anything else that is collected.

1495 Mana whenua are also likely to request that collections are made in specific places; this could be for  
1496 a variety of reasons. They may want collection to focus on or avoid tapu sites. Some may direct you  
1497 to stands of specific plants that they really want seed stored from, while other mana whenua may  
1498 want to avoid these sites. A prime collection site may be under a rāhui (restriction), at the time you  
1499 are there, it might be a historic conflict site, or even a graveyard. Again, the key part in the collection  
1500 process is to observe, respect, and implement the protocols of the mana whenua at the place you  
1501 are working.

#### 1502 *Storage of Seeds*

1503 The historic problems associated with the storage and holding of seeds, from a Māori perspective,  
1504 can be split into three distinct issues. These are the physical storage of seeds, the storage and  
1505 dissemination of data from and of seeds, as well as the access of Māori to taonga seeds. Here I will

1506 address how each of these three distinct aspects of seed banking can be improved to better honour  
1507 Te Tiriti o Waitangi.

### 1508 *Physical Storage of Seeds*

1509 Through projects such as the useful plants project at Royal Botanic Gardens Kew, a distinct focus can  
1510 be seen on the empowerment of communities to store their own seeds locally (Antonelli, 2020;  
1511 Dierig et al., 2014). Storing seeds locally allows Māori to maintain their connection to, and exert their  
1512 kaitiakitanga over, taonga seeds and plants without the need to rely totally on other facilities. It also  
1513 allows for seeds to be more easily planted and cycled through the bank, especially when shelf life is  
1514 short in intermediate and recalcitrant species (Berjak & Pammenter, 2002). In addition, communities  
1515 who carry out work with seeds, either through restoration projects or farming, will need help as the  
1516 effects of climate change worsen (Merritt & Dixon, 2011). By building seed infrastructure locally and  
1517 upskilling Māori communities, they can be better prepared for the changes to come and become  
1518 more familiar with the techniques needed to store their own seeds. However, I acknowledge the  
1519 need for backups to be stored elsewhere away from their local environments to ensure safe supply  
1520 and storage.

1521 Another place where Māori methods do not align with those of science is in the differences between  
1522 whakapapa and taxonomy. For Māori, whakapapa is the most important value in the relatedness of  
1523 species. Whakapapa is commonly translated as genealogy, but more accurately is a relational  
1524 taxonomy of all things (Rire, 2012). It describes in detail the relatedness of people to plants, animals,  
1525 mountains, rivers, and the cosmic forces of light, darkness, stars and even nothingness itself (Rire,  
1526 2012). Through whakapapa, things are not sorted by genetic relatedness, but by how they interact  
1527 within the environment (Rire, 2012). One example of how different this can be to taxonomy is that  
1528 one whakapapa lists Kauri (*Agathis australis* (D. Don) Lindl.) as being the brother of Tohorā (Southern  
1529 right whale-*Eubalaena australis* (Desmoulins, 1822)), species which are much further apart from  
1530 each other in Western taxonomy. A more relevant example to seed banking, however, is in another  
1531 whakapapa where Rimu (*Dacrydium cupressinum* Lamb.) and tānekaha (*Phyllocladus trichomanoides*  
1532 D. Don) are siblings, where from a taxonomic approach Rimu would be much closer to a species such  
1533 as Kahikatea (*Dacrycarpus dacrydioides* (A. Rich.) de Laub.) (Khan et al., 2023). For Māori, the  
1534 measure of relatedness is not based on genetics, but rather environmental interactions. Tānekaha  
1535 and Rimu make up the two dominant species in many native forests, and so by having them related  
1536 closely in whakapapa, the measure of relatedness is location based in this case. Therefore, for Māori,  
1537 sorting collections by whakapapa may align better with their values and goals. By doing this, seeds  
1538 and plant materials are able to be kept close with their whanau as they would be naturally.

1539 Finally, one other consideration is the containers in which seeds are stored. The use of dark glass jars  
1540 or foil bags to store seeds over that of clear glass has also been mentioned among Māori leaders as a  
1541 preferable method in long term storage. This relates to the concept of mauri, the natural life energy  
1542 or spark of all things. Mauri is a unique energy within all things in Māoridom, but in some cases the  
1543 mauri of certain things can interfere with each other (Mead, 2016). By using dark glass, the mauri of  
1544 each collection can be kept contained in the jar and stopped from interfering with other seeds in the  
1545 same area. This method of avoiding clear containers may also be useful in keeping seeds stable in a  
1546 freezer, which may be opened regularly.

#### 1547 *Storage of Data Related to Seeds*

1548 Within Māoridom, there are already robust methods for dealing with the use and dissemination of  
1549 data, covered within tikanga practices (Lovett et al., 2019). In more recent years, these traditional  
1550 systems have been adapted into data frameworks, with the goal of upholding traditional ethics  
1551 within modern systems (Lovett et al., 2019). Māori systems/values additionally call for benefit  
1552 sharing outside of data collection institutes, and instead with the communities where data is  
1553 collected (Lovett et al., 2019; Sporle et al., 2021). Within this framework, several key values have  
1554 been identified in the literature as vital to implementing appropriate data controls in Aotearoa; they  
1555 are as follows (Lovett et al., 2019; National Ethics Advisory Committee, 2019; Sporle et al., 2021):

1556 Whakapapa and whanaungatanga/Generational obligations: Recognising the connection  
1557 between data, people, and wider cultural values.

1558 Rangatiratanga/Authority: The rights of mana whenua to own, access, control and possess  
1559 data on themselves and their taonga.

1560 Kotahitanga/Benefit sharing: Collective vision, benefits, input, and purpose.

1561 Manaakitanga/Reciprocity: Ethical use of data to progress the goals of mana whenua.

1562 Kaitiakitanga/Kaitiaki/Guardianship: Sustainable data stewardship and governance.

1563 These values summarise at a high level the way in which Māori view data management and how data  
1564 should be used. More specifically, however, in 2019 the National Ethics Advisory Committee released  
1565 their “National Ethical Standards” on “Health and Disability Research and Quality Improvement”, in  
1566 which they outline how various aspects of tikanga can be directly linked to data management and  
1567 data sensitivity (National Ethics Advisory Committee, 2019; Sporle et al., 2021). On the basis of these  
1568 standards, Table 7 provides direct questions for institutes holding data related to Māori, allowing  
1569 them to evaluate their own systems both for already stored data, and data that they may be about to  
1570 collect.

1571 *Table 7: Assessment questions related to tikanga concepts from the National Ethics Advisory Committee (National Ethics*  
 1572 *Advisory Committee, 2019).*

<b>Concept</b>	<b>Characteristic</b>	<b>Assessment question</b>
<b>Tapu</b>	Level of sensitivity	“How sensitive is the data?”
<b>Noa</b>	Level of accessibility	“How accessible should these data be?”
<b>Tika</b>	Level of value	“How does the use of these data add value to the community?”
<b>Pono</b>	Level of trust	“Will the community support this use of the data?”
<b>Mauri</b>	Level of originality	“How unique are the data?”
<b>Wairua</b>	Nature of the application	“Are the data being used in the same spirit as their original use?”
<b>Whakapapa</b>	Level of relationship	“Does the user have an existing relationship with the data?”
<b>Pūkenga</b>	Level of expertise	“Does the user have the expertise and experience to use data in a culturally appropriate manner?”
<b>Kaitiaki</b>	Level of authority	“Will the data be protected from inappropriate use?”
<b>Wānanga</b>	Level of responsibility	“Does the institution have the necessary infrastructure to ensure the use of the data in a culturally appropriate and ethical manner?”

1573 In addition to these general issues in data, one of the major issues within the seed system lies within  
 1574 that of the previously discussed black box policies. Black box policies when applied to taonga species  
 1575 directly contradict the promises of Te Tiriti o Waitangi. If Māori are unable to even know where their  
 1576 seeds are, then they are being directly cut off from expressing kaitiakitanga over those seeds.  
 1577 Institutions that currently use these kinds of policies for the purpose of keeping data from those who  
 1578 have a right to it, need to reverse where possible and otherwise end the continued use of black box  
 1579 policies. The black box policies may be useful however for Indigenous peoples, used in reverse, they  
 1580 may prove a powerful tool in allowing Māori to keep tighter control over taonga species and  
 1581 important data. Agreements relating to the data use from stored seeds will also need to be discussed  
 1582 with individual communities and iwi to ensure that mana whenua are comfortable with how  
 1583 institutions will be storing and using data.

#### 1584 *Access of Māori to taonga seeds*

1585 Continuing from the discussion on black box policies, in addition to blocking access to information,  
 1586 they also give full withdrawal rights to the depositor. In the case where someone goes onto Māori  
 1587 land or a site sacred to Māori, collects taonga seed, and deposits it under a black box policy, Māori  
 1588 are unable to access this seed, restricting their right to kaitiakitanga. Under a system that gives effect  
 1589 to Te Tiriti o Waitangi and UNDRIP, relevant mana whenua must be able to exert rangatiratanga over  
 1590 taonga seeds. To honour this requirement, information must at the least be public, and seed  
 1591 collections must be accessible to mana whenua, not just the depositor.

1592 Another key issue regarding access is that of historic collections that were not collected ethically at  
1593 the time. To resolve this, seed banks need to be able to support repatriation efforts where Māori  
1594 wish to reclaim taonga seeds, or where Māori want to continue to store them, to involve Māori  
1595 genuinely in the continued management. If Māori are not equipped to receive and store repatriated  
1596 seeds, seed banks should help to set up and train Māori communities to look after them. Again,  
1597 projects such as Royal Botanic Gardens Kew's useful plants programme show how communities can  
1598 be empowered to self-govern and maintain seed collections through benefit sharing (Antonelli, 2020;  
1599 Dierig et al., 2014) .

1600 Ultimately the issue of benefit sharing comes through as a cross cultural problem, given the gains of  
1601 Western science and Western institutions at the expense of communities around the globe, past and  
1602 current exploitation needs to be addressed. In Aotearoa iwi and hapū are more equipped and more  
1603 ready than ever to be a part of these projects, provided they are resourced and supported by those  
1604 who have benefitted from them and their taonga in the past.

#### 1605 *Outcomes of collection and research*

1606 Sharing of outcomes is vital to fair working relationships between researchers/collectors and Māori.  
1607 Researchers need to provide the outcomes from which communities will actually benefit. Academic  
1608 publications and documenting what they already know is unlikely to be as useful an outcome to  
1609 people outside the science space (Quek & Friis-Hansen, 2011). In contrast, being involved in the  
1610 ongoing management of seeds, being able to access where they are stored regularly, and maintaining  
1611 rangatiratanga over the lifespan of seeds is likely to be a far greater outcome (Quek & Friis-Hansen,  
1612 2011) . Benefit sharing is also vital, as when researchers alone benefit from work with Māori then  
1613 exploitation has occurred. The exception here would be if my project used matauranga specific to a  
1614 people who wanted to keep it out of the public domain, in this case I would work with those people  
1615 to decide how best to handle it.

1616

#### 1617 **Conclusions**

1618 It is important to reiterate that all of these protocols rely on a foundation of trust and goodwill. To  
1619 get the best possible outcomes for Aotearoa's seed banking system, and to prepare for a changing  
1620 climate and environment, Māori need to be empowered within the system. Only by forming good  
1621 working relationships between institutions and Māori at place can robust future-proof systems which  
1622 honour Te Tiriti o Waitangi be built.

1623 Globally, a movement towards acknowledgement of Indigenous peoples and their knowledge is  
1624 taking place. Unfortunately, this acceptance of Indigenous knowledge is not universally held by all  
1625 scientists (Black & Tylianakis, 2024). In Aotearoa, as mātauranga Māori has begun to be taught in

1626 schools a vocal minority of the science community have spoken out against it (Black & Tylianakis,  
1627 2024). However, even with this push back, this chapter has shown how through UN declarations,  
1628 alongside changes in individual nations, a reshape of how science and governments value Indigenous  
1629 knowledge, and the people who hold it has and is taking place. UNDRIP and UNDPOP both  
1630 specifically make reference to the rights that local people have to their plant species and the seeds  
1631 from them. Work done within institutions, such as Royal Botanic Gardens Kew, have begun to  
1632 address colonial histories and move forward while acknowledging and addressing them. While none  
1633 of these are perfect, they show a distinctive change in the way science is choosing to engage with  
1634 Indigenous peoples and local communities. In Aotearoa, Māori have made significant gains in this  
1635 space over the last two decades in the acceptance and integration of mātauranga Māori, as well as in  
1636 the acknowledgement of their rights through Te Tiriti o Waitangi. In addition, with the rise of natural  
1637 disasters, and plant incursions locally, seed banking and food sovereignty have become urgent issues,  
1638 requiring immediate solutions.

1639 Given this traction, Aotearoa is well primed to begin a significant acceleration in its efforts to collect  
1640 and conserve seeds, for both threatened native plants and for food security. Unfortunately Aotearoa  
1641 is in the position, however, that the nation's seed infrastructure and understanding of seed storage  
1642 behaviour for native plants is still in its early stages (Wyse et al., 2023). This does, nevertheless, give  
1643 the opportunity for discussion around how Aotearoa as a nation wants to move forward in the  
1644 development of seed infrastructure and protocols. This chapter's purpose was to provide a starting  
1645 point for the appropriate, ethical, and legal use of seeds and seed material in Aotearoa. This is not a  
1646 totally comprehensive guide on how to engage and involve Māori, it is instead an exploration of  
1647 issues that exist, and potential solutions.

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## 1665 Chapter 4: Kaupapa Māori approaches to Seed Banking

### 1666 Thesis summary

1667 This thesis has aimed to provide a starting point for examining the experiences of Māori in seed  
1668 collection and storage in Aotearoa, while also beginning to create a best practice for appropriate and  
1669 ethical engagement (see Chapter 3). In addition to this, I have also begun to study the behaviours of  
1670 seeds in the *Coprosma* genus. Specifically, I tested the optimal germination protocols of these seeds,  
1671 as well as their desiccation, cold, and freezing tolerance (see Chapter 2). Through this, I found that  
1672 across this genus, there is significant variation in the storage behaviour of species.

1673 Between these two seemingly separate aims, the overall goal of this thesis is to support the growth  
1674 of the relatively new seed banking sector in Aotearoa. While Chapter 2 focused on building the  
1675 technical knowledge base of seed banking native plants, Chapter 3 focused on acknowledging global  
1676 issues in seed banking, and local issues in the wider conservation space. Between these two  
1677 Chapters, overall, I have aimed to build a foundation of what we know technically, and how we  
1678 should learn more, ethically. By researching the behaviour of select species across the *Coprosma*  
1679 genus, I was able to continue building a profile of an otherwise understudied group. Specifically, this  
1680 thesis has generated germination and storage protocols for five *Coprosma* species, which adds to the  
1681 existing information for the genus in Aotearoa and internationally. Although this information does  
1682 not contain mātauranga specific to any one place, it is useful for the propagation of these species;  
1683 therefore, it must be made publicly available to allow communities to benefit. This is not to say that  
1684 there is no mātauranga related to this project- knowledge of what birds disperse what seeds at what  
1685 time is a part of this knowledge system. The link between bird dispersal mechanisms and the need  
1686 for scarification to break dormancy could potentially contribute to mātauranga. My project has also  
1687 produced viable seedlings of taonga species, which I, at the time of writing, am growing in a Māori  
1688 owned nursery within the area of the mana whenua, from whose land the seeds were collected. In  
1689 this way, I have benefitted from creating a thesis that I can use to progress my academic career, as  
1690 well as creating useful information and plants for the mana whenua of the area where I conducted  
1691 my research. Given that I have highlighted a need for more research into the *Coprosma* genus, this  
1692 will require more seeds to be collected and studied from across the country, and the wider Pacific,  
1693 meaning that engagement with Māori and other Indigenous peoples will be vital.

1694 In this chapter, I will bring together the findings and recommendations from Chapters 2 and 3 to  
1695 discuss where and how they cross over.

1696

## 1697 The future of seed banking in Aotearoa

1698 This thesis has recommended, as a part of the Chapter 2 conclusions, that more research is needed  
1699 into the *Coprosma* genus in order to allow it to be successfully grown and safely stored. For the  
1700 species included in this study, as well as those identified as already having their storage conditions  
1701 known (*C. lucida* and *C. foetidissima*), two have been identified as orthodox (Burrows, 1996, 1997).  
1702 Research needs to focus on finding out how long these two species, *C. robusta* and *C. foetidissima*,  
1703 can survive in storage, and whether there is any decay after two years in freezing storage, as has  
1704 been suggested as a possibility in Rubiaceae (Chau et al., 2019). Given the variation I observed within  
1705 this genus, future research should also aim to investigate the storage behaviours of more species in  
1706 *Coprosma*, and the wider Rubiaceae family.

1707 *Coprosma* itself was identified as an appropriate study group not only because of the scientific  
1708 drivers identified in Chapter 2 (diversity concentration in Aotearoa, lack of storage research), but also  
1709 because of its importance to Māori. Likewise, because of this significance to Māori as a taonga  
1710 species, the research and collection of seeds would be subject to the protocols for appropriate  
1711 engagement as I have outlined in Chapter 3.

1712 If assessment of the germination and storage requirements of the remaining species is done by a  
1713 non-Māori organisation, such as a university or Crown Research Institute, collections would be  
1714 needed from across the entirety of Aotearoa to capture the more than 55 species present, which  
1715 grow across every iwi and hapū territory (Lee et al., 1988). As has been highlighted previously  
1716 (Chapter 3), at a high level, mana whenua must be involved from the beginning. For a species which  
1717 is spread across large parts of the country, it will never be possible to engage with everyone, so it  
1718 would be best to approach the relevant mana whenua for where collections are aimed. This is where  
1719 previous relationships are vital; a university researcher for example, may choose to work on species  
1720 and in sites local to their institution, making use of pre-existing relationships with local iwi and hapū.

1721 However, the potential for variation in germination traits within species means that samples will be  
1722 needed across populations; this is due to the potential existence of desiccation-sensitive mutants  
1723 between populations, as has been observed in the *Arabidopsis* genus (Tweddle et al., 2003).

1724 Although inter-population variation was not a component of my research, its existence means that  
1725 collections may be needed across the country to establish an accurate record of storage behaviour,  
1726 meaning that collaboration across iwi boundaries will be needed. Following this, if the goal of future  
1727 research is to gain an understanding of the entire genus *Coprosma*, then research will also be needed  
1728 throughout the Pacific, specifically in the next major species-diversity hotspot for this genus, Hawai'i  
1729 (Cantley et al., 2014). A previously mentioned, a study by Chau et al (2019) looked at 295 species in

1730 Hawai'i to find how common freeze sensitivity was, and among these were five *Coprosma* species.  
1731 They are *Coprosma ernodeoides* A. Gray, *Coprosma foliosa* A. Gray, *Coprosma kauensis* (A. Gray) A.  
1732 Heller, *Coprosma longifolia* A. Gray, and *Coprosma rhynchocarpa* A. Gray (Chau et al., 2019). All of  
1733 these species are able to be dried, however, the paper points out that none of them have been  
1734 stored for very long, with the longest collection having been in a bank for five years (Chau et al.,  
1735 2019). From this, they suggest that the freeze sensitivity of the wider Rubiaceae may present itself  
1736 after longer in storage (Chau et al., 2019). A more targeted study by Wolkis et al (2023) looked in to  
1737 *C. kauensis*, and found that it is desiccation and freeze tolerant up to at least six months, confirming  
1738 the results of Chau et al (2019). Obviously, a relationship with the Kānaka Maoli of Hawai'i will need  
1739 to be established for appropriate and ethical collaboration. While the similar values of trust and  
1740 benefit sharing will surely be vital, I am not a member of these communities, and as such can not  
1741 comment on the specific cultural requirements that may be needed.

1742 Given the diversity of *Coprosma* both within Aotearoa, and internationally, if the goal is to obtain and  
1743 study the total diversity of *Coprosma*, a nationwide, or even international, project will need to be  
1744 undertaken. A project of this scope could involve multiple scientific institutions, but may benefit  
1745 more from resourcing Māori to make collections themselves. This allows for benefit sharing in the  
1746 form of training and resourcing for communities, and for researchers to sample larger areas of the  
1747 distribution range. Having connections with Māori living at or near the places where collection takes  
1748 place also allows for easier sampling over time, as fruiting times can differ across distribution ranges  
1749 (Chau, 2021; Plue & Cousins, 2018). Ultimately, this collaborative approach would allow mana  
1750 whenua to be involved and informed of collections occurring in their territories, and when  
1751 appropriate be involved themselves. It would also ensure that benefit sharing occurs, rather than  
1752 exploitation, and that collectors themselves have the opportunity to benefit from collaborative  
1753 projects.

1754 While this process is always important to undertake, it is especially important when working with  
1755 certain *Coprosma* species. This genus contains several rongoā species, that is, species used in  
1756 medicinal practices by Māori (McGaw, 2018). Rongoā species within *Coprosma* include the already  
1757 covered, *C. robusta* (Karamū) and *C. propinqua* (mikimiki or mingimingi), as well as others such as  
1758 *Coprosma acerosa* A.Cunn (Tātaraheke or Tarakupenga), *C. autumnalis* (Manono or Kanono), and  
1759 *Coprosma rotundifolia* A.Cunn (Manono or Kanono) (McGaw, 2018). Manono for example can be  
1760 used by crushing up the bark and applying to cuts and bruises, additionally, the sap can also be  
1761 applied to scabies as a treatment (Best, 1906). Plants used in rongoā Māori practices are not only  
1762 taonga, but also carry with them their own specific tikanga - practices for how to handle them. This  
1763 important distinction of rongoā species further adds to the need for robust collaboration with mana

1764 whenua to ensure methods are ethical and appropriate. This distinction may also be used to  
1765 prioritise target species, a future focus on rongoā species for storage can help to conserve seeds of  
1766 greater importance, similar to the Millennium Seed Bank's 'useful plants' project (Antonelli, 2020;  
1767 Dierig et al., 2014).

1768 As previously mentioned (Chapter 1), the families of Araliaceae, Pittosporaceae, Podocarpaceae, and  
1769 Rubiaceae have all been mentioned as potentially difficult species to store (Wyse et al., 2023). This  
1770 thesis has already explored one part of the Rubiaceae, however, the other families mentioned here  
1771 also contain taonga plants, again some of which are rongoā. For example, the *Pseudopanax* and  
1772 *Meryta* genera within Araliaceae both appear to be recalcitrant, and both contain rongoā plants  
1773 (Earl, 2010; Metcalf, 1995; Wyse et al., 2023). Pittosporaceae contains a mix of seed behaviour, with  
1774 only one known member possibly being orthodox in storage, *Pittosporum tenuifolium* Sol. Ex Gaertn  
1775 (Kohuhu), which is also a rongoā plant used to treat eczema (Earl, 2010; Metcalf, 1995; Wyse et al.,  
1776 2023; Yu, 2015). Podocarpaceae contains potentially the most iconic species in Aotearoa, with  
1777 members such as *Podocarpus totara* G.Benn. ex D.Don var. *totara* (tōtara), *Dacrycarpus dacrydioides*  
1778 (A.Rich.) de Laub. (kahikatea), and *Dacrydium cupressinum* Sol. Ex Lamb (rimu). These species are all  
1779 highly iconic to the national identity of Aotearoa. For example, tōtara was the best building and  
1780 carving material for Māori, and is still widely used by carvers today (Simpson, 2017).

1781 Any storage or research of these trees would require incredibly robust engagement with Māori to  
1782 ensure that everything was done appropriately, especially considering that the little research done so  
1783 far suggests recalcitrance (Fountain & Outred, 1991; Wyse et al., 2023). This is because research on  
1784 recalcitrant species not only needs to carry out initial desiccation and freezing tolerance testing, but  
1785 will also require targeted and potentially unique techniques, to find how to store them outside of  
1786 traditional methods used for orthodox seeds. Given these examples, it is clear that, as research is  
1787 done on these challenging species, Māori will want to be involved at all levels. Robust cultural  
1788 methods will be needed to store seeds of these species using more complex tools, such as  
1789 cryofreezing among others, away from their home environments.

1790 Outputs and outcomes of these projects must involve benefit sharing. This may look like empowering  
1791 Māori to store seeds themselves after the project's conclusion (Quek & Friis-Hansen, 2011). When  
1792 Māori desire to store and conserve seeds themselves, efforts should be made where possible to  
1793 accommodate this. The issue of storage at place is not unique to dealing with *Coprosma* species,  
1794 however, while Māori must be empowered to store seeds at place, this is not always possible. As  
1795 discussed, recalcitrant seeds are likely to require more intensive methods, such as cryogenics, to be  
1796 able to be stored long term (Walters & Pence, 2021). This means that while it may be possible to

1797 store orthodox seeds and some intermediate species locally, there are always going to be those  
1798 which require more sophisticated technologies to store long term (Walters & Pence, 2021). For  
1799 *Coprosma* in Aotearoa, the proportion seems to be two orthodox species, and five non-orthodox (see  
1800 Chapter 2 for those involved in this study). This shows that for these species which cannot be stored  
1801 locally, like *C. autumnalis* which displayed high desiccation sensitivity (Chapter 2), storage will need  
1802 to take place in larger banks outfitted with appropriate equipment, such as cryopreservation  
1803 facilities.

1804 To make space for Māori to express rangatiratanga and kaitiakitanga, collections which are not stored  
1805 locally must be established with mana whenua and allow them to hold decision making power over  
1806 seeds. This also applies to orthodox seeds being kept as a back-up at other sites. Ultimately, this  
1807 feeds into the principle of benefit sharing and ensuring that the benefits received by all parties are  
1808 genuine and useful (Breman et al., 2021; Dierig et al., 2014; Pleasant, 2014; Shephard, 2015;  
1809 Sutherland & Shephard, 2017). In this case, Māori gain the ability to collect and store relevant seeds  
1810 without losing control of them. As has been discussed in Chapter 3, the use of black box policies by  
1811 non-Indigenous groups has been one tool to block such benefit sharing and access to data. I would,  
1812 however, recommend the use of these policies in some cases. The current model for these  
1813 agreements is that the depositor has full control; however, a significant improvement would be an  
1814 amendment whereby it is not possible to hold a species, such as *Coprosma* sourced in Aotearoa,  
1815 within a 'full strength' policy (Breen, 2015; Dierig et al., 2014). By using a 'softer' black box policy,  
1816 Indigenous peoples would be able to acquire data from seed banks of all culturally significant species  
1817 stored within, even those under black box policies. Additionally, I would argue that all new black box  
1818 policies that involve the depositing of culturally significant species must be able to prove the  
1819 involvement of relevant Indigenous peoples in their collection processes. Such a 'soft' black box  
1820 policy would also provide an opportunity for major seed banks around the world to implement top-  
1821 down procedures to address inequalities in the global seed system.

1822 Therefore, future research into the seed behaviour of the wider *Coprosma* genus found in Aotearoa  
1823 must be co-led by Māori and provide tangible benefits to communities involved. Additionally, it is  
1824 through these relationships that collectors and researchers will also achieve the best results for  
1825 themselves, as Indigenous people's knowledge of their territories is invaluable.

1826

## 1827 Conclusion

1828 In conclusion, future research will be needed in Aotearoa within *Coprosma*, and many other seed  
1829 producing plant groups if the country is to actively use seed banking as a meaningful conservation

1830 method. If the field is to have any real progress at pace, the engagement of Māori at all stages is  
1831 vital. Māori have the right to be involved in every aspect of seed collection and banking through Te  
1832 Tiriti o Waitangi, and international policy such as UNDRIP. More importantly however, the intimate  
1833 understanding and relationships that Māori have with their local environments places them as the  
1834 best protectors and responders to issues that may arise. As we have seen, engagement and  
1835 collaboration are mutually beneficial, Māori are able to be empowered to be involved in matters  
1836 concerning their places, and researchers are able to, where appropriate, benefit from the knowledge  
1837 that Māori and mana whenua have of their places. As an example of this here, the identification of  
1838 rongoā species by mana whenua provides a potential avenue for collection and research  
1839 prioritisation. Ultimately, seed banking has the potential to be a powerful tool for climate change  
1840 adaptation. This thesis has begun this journey, in Chapter 2, I have begun to investigate the  
1841 *Coprosma* genus to find its limits in storage, and through Chapter 3 and 4, discussed the ways in  
1842 which Māori need to be involved, and the issues that may arise in the seed conservation process.  
1843 Storing seeds can support replanting efforts in already damaged ecosystems and in those which will  
1844 be hit by disasters in the near future. None of this is possible in Aotearoa without Māori.

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